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Original Article

# Microbiota analysis in the hemodialysis population - Focusing on *Enterobacteriaceae*



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**KEYWORDS**

Enterobacteriaceae;  
Gastrointestinal  
microbiome;  
Hemodialysis;  
Kidney failure;  
Chronic;  
Microbiota;  
Prognosis

**Abstract** *Background:* Infection is a recognized risk factor for mortality among hemodialysis (HD) population, including infection caused by *Enterobacteriaceae*. We aimed to investigate *Enterobacteriaceae* in gut microbiota among HD patients and to analyze associations between microbiota and clinical parameters.

*Methods:* This prospective study of microbiota analysis in HD patients was conducted in April–May 2019. A control group without recent antibiotic use or hospitalization was used for comparison. Stool samples underwent 16S rRNA sequencing, using Greengenes 16S rRNA database for microbiota analysis.

*Results:* Among 96 hemodialysis (HD) patients, mean age was  $61.9 \pm 0.8$  years and mean duration of HD was  $6.5 \pm 0.7$  years. No significant differences were found in alpha diversity between HD and control groups (HD group 949.5, controls 898;  $p = 0.16$ ) although significant between-group differences were found in beta diversity ( $p < 0.001$ ). At phylum level, HD group had a higher abundance of *Firmicutes* and *Proteobacteria*, but lower abundance of *Bacteroidetes*. At genus level, *Escherichia-Shigella* complex increased among HD patients who had hospitalization with 1 year (median 0.024 vs 0.004,  $p = 0.054$ ) and *Klebsiella* was associated with emergency room visit within 1 year among HD patients ( $p = 0.002$ ).

*Conclusions:* Alpha diversity in HD patients is not lower than that in healthy controls but significant between-group differences are found in microbiota composition according to beta diversity, due to decreased *Bacteroidetes* and increased *Firmicutes* and *Proteobacteria*. Deeper microbiota analyses for *Enterobacteriaceae* are necessary. Whether change in dietary components can help to decrease mortality among dialysis population warrants further research.

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**Introduction**

The gut microbiota is considered to have an important role in human disease, and microbiota-mediated therapy has been proposed accordingly.<sup>1,2</sup> However, many factors affect the composition of the microbiota, including food, lifestyle and medications.<sup>3,4</sup> Associations between microbiota and other diseases have been under vigorous research, but the effects were less prominent and limited due to the complexity of the investigation.<sup>1,2,5,6</sup> Patients receiving hemodialysis (HD) regularly are a special population. They have regular hospital exposure, multiple medications, specific dietary considerations and frequent medical events.<sup>7–10</sup> Only limited reports have been published about microbiota analysis among HD patients.<sup>11–14</sup> The study of Vaziri et al. showed that microbiota from patients with end-stage renal disease (ESRD) was markedly different from that of healthy controls,<sup>11</sup> and in rat uremic models the difference was mostly due to decreases in operational taxonomic units (OTUs) in the *Lactobacillaceae* and *Prevotellaceae* families. In a pediatric study of ESRD, the relative abundance of *Bacteroidetes* (phylum) was increased in HD patients ( $p = 0.046$ ), and the relative abundance of *Proteobacteria* ( $p = 0.023$ ) was increased in peritoneal dialysis patients.<sup>13</sup> On the contrary, a review of the gut microbiome in patients with chronic kidney disease (CKD), found a lower abundance of *Bifidobacteriaceae* and *Lactobacillaceae* and higher levels of *Enterobacteriaceae*.<sup>14</sup>

Bacterial infection is a common complication among HD patients, and bacteremia caused by *Staphylococcus* from the skin is well-documented among HD cases.<sup>8,15,16</sup> However, bacteremia caused by *Enterobacteriaceae* is less

studied.<sup>15,17–19</sup> Evidence of detection of circulating bacterial-derived DNA fragments has been found among ESRD patients (around 20%) and was linked to inflammation markers.<sup>20,21</sup> Among the fragments, DNA from *Enterobacteriaceae* predominates. With the advancement of 16S rRNA sequencing technology and analysis,<sup>22,23</sup> stool microbiota analysis has become a more feasible tool to understand the role of microbiota for a specific condition. This prospective study aimed to investigate the potential role of *Enterobacteriaceae* in gut microbiota among hemodialysis patients who did not have sepsis at the time of sample collection, and to analyze associations between the microbiota and clinical parameters.

**Methods****Study design, setting, and sample**

This prospective study enrolled HD patients from the dialysis center of the Far Eastern Memorial Hospital (FEMH), a 1400-bed tertiary hospital in northern Taiwan. The HD center serves about 380 cases regularly in an out-patient setting. The National Health Insurance in Taiwan universally covers patients' expenses for HD.<sup>24,25</sup> The initial enrollment was evaluated by nephrologists. Patients who had difficulty collecting stool samples (e.g., poor eyesight, bed-ridden status, etc.) were excluded. Those who were willing to cooperate were then enrolled. A structured case record form, including underlying illness, duration of HD, body mass index (BMI), medications, recent infection/hospitalization, and labora-

tory parameters was used for all included patients. Dietary protein intake was assessed by the normalized protein catabolic rate (nPCR).<sup>26</sup> Charlson comorbidity index (CCI) scores were calculated based on patients' comorbidities.<sup>27</sup> All stool samples were collected and stored in the fridge at the patients' homes prior to HD sessions if the stool could not be processed by the study team within 3 h. Samples were stored at the  $-80^{\circ}\text{C}$  freezer until tested. All patients were followed for more than one year after enrollment. Healthy control cases were also collected since 2017 at FEMH (age  $>20$  years, no major systemic disease, no antibiotics within 3 months).

### 16S rRNA gene sequencing

Total genomic DNA was directly extracted using QIAmp Fast DNA Stool Mini kit (Qiagen, Germany) according to the manual for product instruction from frozen samples. After isolation, DNA yield was approximately 50 $\mu\text{g}$  and the DNA concentration is 150ng/ $\mu\text{l}$ . The DNA sample was stored at  $-20^{\circ}\text{C}$  before polymerase chain reaction (PCR) amplification. For the PCR amplification step, the forward and reverse primers that were complementary upstream and downstream of the V3–V4 region of 16S were designed with Illumina overhang adapters and employed to amplify templates from bacterial genomic DNA. PCR products were purified with a GeneAmp Gel/PCR purification kit (GeneAid, Taiwan). For the Index PCR and clean up a step, Illumina sequencing adapters and dual indices were attached to the PCR products using the Nextera XT Index Kit (Illumina, San Diego, CA, USA). Subsequently, AMPure XP beads were used to clean up the final libraries, and the expected size on a Bioanalyzer trace of the final libraries was approximately 630 bp. For the normalization and sequencing steps, Libraries were normalized and pooled and sequenced on the MiSeq system using v3.0 reagents (pair-end 250bp, Illumina, San Diego, CA, USA).

### Sequence quality control

The following four major steps were used to analyze the sequence reads in FASTAQ format: (a) Check the quality value of raw reads using FastQC<sup>28</sup>; (b) Merge pair-end reads of a sample according to the overlap sequences using the PEAR software,<sup>29</sup> and discard the overlapped sequence less than 10 bp; (c) Clusters of similar sequences with at least 97% identified were defined as an OTU using UCLUST.<sup>30</sup> Then, the QIIME software package (version 1.9.1)<sup>31</sup> with default setting was applied to compare sequences to a reference database using the Greengenes database (release 13\_8).<sup>32</sup>

### Statistical analyses

The alpha diversity, beta diversity, microbiome structure, multi-variant statistical analysis, and co-occurrence network analysis plots are generated using R software (R Foundation for Statistical Computing, Vienna, Austria). Comparison between HD group and healthy control was performed according to the abundance of genus level

among HD patients and with linear discriminant analysis effect size (LefSe). For the analysis between specific OTUs and clinical parameters, correlation coefficient were calculated and Mann-Whitney U test and Pearson's chi-square test were used for p values. Data were analyzed with SPSS software version 15.0 for Windows (SPSS, Chicago, IL, USA).

## Results

### Characteristics of HD patients/healthy controls and overall diversity of gut microbiota

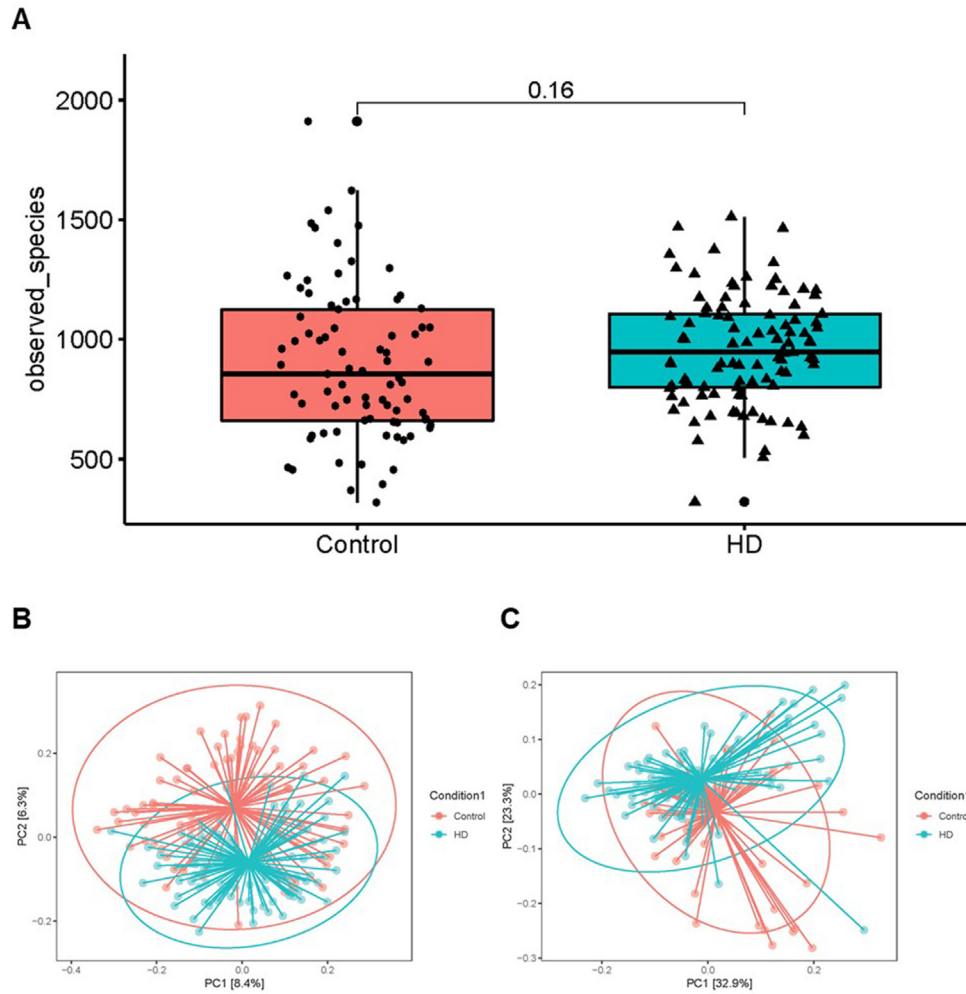
During the study period (April–May 2019), 96 HD patients were enrolled, including 66 men and 30 women. Mean age was  $61.9 \pm 0.8$  years and mean duration of hemodialysis was  $6.5 \pm 0.7$  years. The average BMI was  $23.8 \pm 0.4 \text{ kg/m}^2$ , and median CCI score was 6 (standard deviation  $\pm 2$ ). The healthy control group ( $n = 81$ ) was younger ( $44.4 \pm 1.4$  years old,  $p < 0.05$ ) than the HD group. Moreover, the healthy control cohort contained 62 women and only 19 men ( $p < 0.05$ ), but the BMI was similar  $23.8 \pm 0.4 \text{ kg/m}^2$  ( $p = 0.93$ ). Comparison between the HD and control groups showed that the median observed species was 949.5 for the HD group and 898 for the control group ( $p = 0.16$ ) (Fig. 1A). However, significant differences were found in beta diversity between the two groups (Fig. 1B (unweighted) and 1C (weighted);  $p < 0.001$ ). BMI was associated with observed species in the HD group (correlation coefficient ( $r$ ) = 0.31;  $p = 0.002$ ), but the association was not found in healthy controls ( $r = 0.08$ ;  $p = 0.50$ ). Two HD patients died within one-year follow-up. (alpha diversity analyzed with chao1, faith's phylogenetic, and diversity Shannon diversity offered as supplementary data).

### Microbiota comparison at phylum level

Fig. 2 shows the differences in microbiota between the HD and control groups at the phylum level. The HD group had a higher abundance of *Firmicutes* (median 0.58 [0.1–0.96] vs. 0.53 [0.09–0.88];  $p = 0.034$ ) and *Proteobacteria* (median 0.06 [0–0.68] vs. 0.02 [0–0.68];  $p = 0.005$ ), but a lower abundance of *Bacteroidetes* (median 0.12 [0.002–0.63] vs. 0.29 [0.002–0.82];  $p = 0.001$ ). The HD group also had a higher abundance of *Fusobacteria* than the controls but the abundance was still very low in both groups (median 0 [0.002–0.33] vs. 0 [0–0.49];  $p = 0.01$ ).

### The result of LefSe and microbiota comparison at the genus level

The result of LefSe was shown in Fig. 3. In brief, abundance of *Escherichia*, *Streptococcus*, *Lactobacillus*, *Enterococcus*, *Staphylococcus*, *Klebsiella* increased among HD cases, while *Bifidobacterium*, *Prevotella*, and *Bacteroides* decreased. Table 1 shows results of further analysis of the distribution of major OTU identities (IDs) at the genus level based on abundance in the HD group. The most common OTU ID among *Firmicutes* was *Blautia* (ID 532203), which



**Fig. 1.** Comparison between hemodialysis ( $n = 96$ ) and healthy control ( $n = 81$ ) groups by (A) alpha diversity ( $p = 0.16$ ), (B) unweighted beta diversity ( $p = 0.0001$ ), and (C) weighted beta diversity ( $p = 0.0001$ ). HD, hemodialysis.

was significantly higher than that in the healthy controls group (median 0.012 vs. 0.005;  $p = 0.017$ ). The most common OTU among *Proteobacteria* was *Escherichia-Shigella* complex (ID 1111294), which was significantly higher compared to that in the controls (median 0.009 vs. 0.002;  $p < 0.001$ ). In contrast, the most common OTU ID among *Bacteroidetes* was *Bacteroides* (ID 589277), which was significantly lower than that in the healthy controls group (median 0.009 vs. 0.018;  $p = 0.036$ ).

Given the significant increase of *Proteobacteria* among HD cases, the top 20 abundant OTUs are listed in Table 2. All OTU IDs increased in the HD group. The top five IDs were identified as *Escherichia-Shigella* complex (3), *Escherichia* and *Klebsiella*. The distribution of OTUs among healthy controls was similar, but the relative abundance was lower. Five HD cases had *Proteobacteria* abundance higher than 50%, and details of relative abundance in these 5 cases are shown in Fig. 4. OTU 1111294 is most prevalent among these cases, ranging from 39.4% to 23.5%, followed by OTU 114510 (8.5%–10.1%). The abundance of OTU 813127 (genus *Klebsiella*) varied from 0% to 12.5%.

The abundance of genus *Blautia* in the HD group is unexpected (OTU 532203, OTU 366846, OTU 183684). All three

OTUs were positively associated with alpha diversity ( $r = 0.37$ ,  $p < 0.001$ ,  $r = 0.36$ ,  $p < 0.001$ , and  $r = 0.36$ ,  $p < 0.001$ ) and negatively associated with phylum *Proteobacteria* ( $r = -0.24$ ,  $p = 0.017$ ,  $r = -0.27$ ,  $p = 0.008$ ,  $r = -0.22$ ,  $p = 0.03$ ). No significant correlations were found between genus *Blautia* and BMI ( $p > 0.1$  for all 3 OTUs) or genus *Bifidobacterium* ( $p > 0.41$  for all three OTUs). These 3 OTUs were strongly associated with alpha diversity ( $r = 0.54$ ,  $p < 0.001$ ,  $r = 0.62$ ,  $p < 0.001$ ,  $r = 0.54$ ,  $p < 0.001$ ) and genus *Bifidobacterium* ( $r = -0.39$ ,  $p < 0.001$ ,  $r = 0.46$ ,  $p < 0.001$ ,  $r = 0.40$ ,  $p < 0.001$ ) in healthy controls and negatively associated with phylum *Proteobacteria* ( $r = -0.48$ ,  $p < 0.001$ ;  $r = -0.48$ ,  $p < 0.001$ ;  $r = -0.47$ ,  $p < 0.001$ ). The median relative abundance of genus *Bifidobacterium* was 0.004 among HD group patients (range 0–0.25) and 0.007 among healthy controls (range 0–0.44),  $p = 0.03$ .

*Clostridium difficile* (OTU 606927) was of special interest and therefore analyzed. The median relative abundance of OTU 606927 was 3.35E-05 among the HD group (range 0–0.005) and 0 among healthy controls (range 0–0.005);  $p < 0.001$ . Analysis of genus *Clostridium* (contains more than 10 OTUs) revealed that the median relative abundance



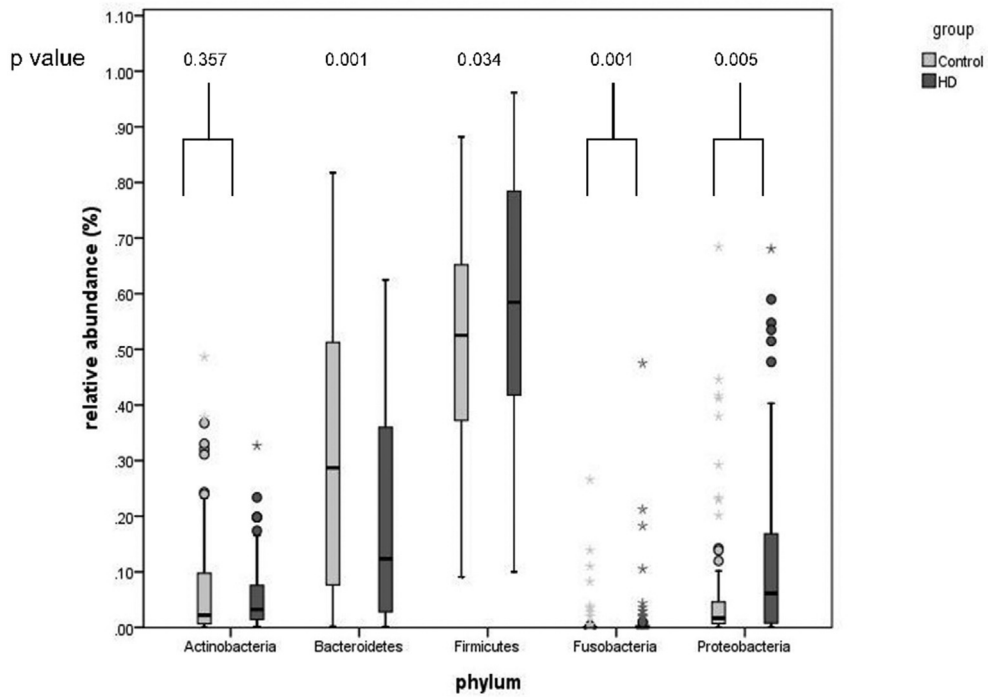


Fig. 2. Comparison between hemodialysis and healthy control groups at the phylum level. HD, hemodialysis.

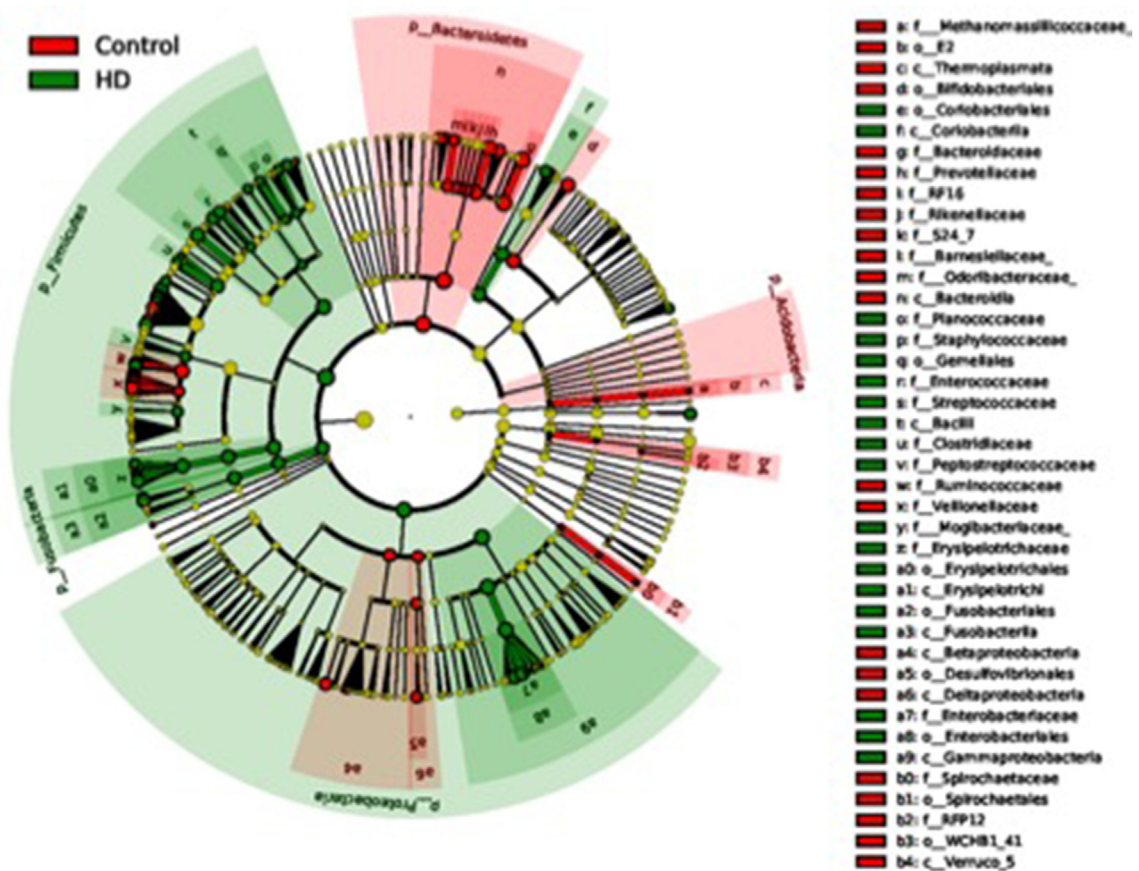


Fig. 3. LefSe comparison between hemodialysis and healthy control at the genus level.

**Table 1** Major OTUs abundance in hemodialysis patients at genus level, compared to healthy controls (based on median abundance in hemodialysis group).

OTU ID	Phylum	Class	Order	Family	Genus	Hemodialysis (n = 96)				Healthy controls (n = 81)				Mann-Whitney U p value	
						Median	IQR	Mean	SE	Median	IQR	Mean	SE		
532203	Firmicutes	Clostridia	Clostridiales	Lachnospiraceae	<i>Blautia</i>	1.22E-02	1.85E-02	1.71E-02	1.93E-03	5.45E-03	1.31E-02	1.05E-02	1.31E-03	0.017 <sup>a</sup>	↑
360015	Firmicutes	Clostridia	Clostridiales	Ruminococcaceae	<i>Ruminococcus</i>	1.16E-02	2.91E-02	2.99E-02	5.60E-03	3.25E-04	1.52E-03	2.02E-03	5.17E-04	<0.001 <sup>a</sup>	↓
589277	Bacteroidetes	Bacteroidia	Bacteroidales	Bacteroidaceae	<i>Bacteroides</i>	9.48E-03	4.31E-02	3.40E-02	5.25E-03	1.78E-02	6.68E-02	4.63E-02	6.90E-03	0.036 <sup>a</sup>	↓
1111294	Proteobacteria	Gammaproteobacteria	Enterobacteriales	Enterobacteriaceae	<i>Escherichia-Shigella</i>	9.44E-03	6.14E-02	6.15E-02	1.03E-02	1.52E-03	6.30E-03	1.55E-02	4.78E-03	<0.001 <sup>a</sup>	↑
366846	Firmicutes	Clostridia	Clostridiales	Lachnospiraceae	<i>Blautia</i>	6.46E-03	9.04E-03	9.65E-03	1.06E-03	1.12E-03	4.04E-03	3.71E-03	5.79E-04	<0.001 <sup>a</sup>	↑
183684	Firmicutes	Clostridia	Clostridiales	Lachnospiraceae	<i>Blautia</i>	6.25E-03	9.77E-03	8.86E-03	9.83E-04	2.69E-03	6.69E-03	5.09E-03	6.40E-04	0.003 <sup>a</sup>	↑
470382	Firmicutes	Clostridia	Clostridiales	Lachnospiraceae	<i>Anaerobutyricum-Anaerostipes</i>	5.34E-03	2.20E-02	1.29E-02	1.69E-03	2.40E-03	1.26E-02	8.66E-03	1.50E-03	0.127	↑
547913	Firmicutes	Clostridia	Clostridiales	Lachnospiraceae	<i>Anaerobutyricum-Anaerostipes</i>	4.96E-03	2.13E-02	1.41E-02	2.04E-03	2.55E-03	6.12E-03	5.77E-03	1.04E-03	0.007 <sup>a</sup>	↑
696563	Firmicutes	Clostridia	Clostridiales	Lachnospiraceae	<i>Blautia</i>	4.54E-03	9.78E-03	8.73E-03	1.07E-03	1.91E-03	5.70E-03	3.71E-03	4.73E-04	<0.001 <sup>a</sup>	↑
362997	Bacteroidetes	Bacteroidia	Bacteroidales	Bacteroidaceae	<i>Bacteroides</i>	3.14E-03	1.23E-02	1.23E-02	2.65E-03	3.48E-03	2.78E-02	2.03E-02	4.39E-03	0.307	↓
583117	Bacteroidetes	Bacteroidia	Bacteroidales	Bacteroidaceae	<i>Bacteroides</i>	2.92E-03	7.90E-03	9.17E-03	1.83E-03	2.77E-03	8.95E-03	6.56E-03	9.31E-04	0.836	↓
363794	Actinobacteria	Coriobacteriia	Coriobacteriales	Coriobacteriaceae	<i>Collinsella</i>	2.82E-03	2.29E-02	1.72E-02	3.05E-03	4.76E-03	2.69E-02	1.94E-02	3.04E-03	0.112	↓
579608	Firmicutes	Bacilli	Lactobacillales	Streptococcaceae	<i>Streptococcus</i>	2.71E-03	1.57E-02	2.42E-02	5.66E-03	1.08E-03	3.03E-03	3.65E-03	1.14E-03	<0.001 <sup>a</sup>	↑
357449	Firmicutes	Clostridia	Clostridiales	Lachnospiraceae	<i>Anaerobutyricum-Eubacterium-Lactobacillus</i>	2.57E-03	9.05E-03	5.59E-03	7.39E-04	1.08E-03	5.50E-03	3.68E-03	6.56E-04	0.048 <sup>a</sup>	↑
114510	Proteobacteria	Gammaproteobacteria	Enterobacteriales	Enterobacteriaceae	<i>Escherichia</i>	2.47E-03	1.51E-02	1.59E-02	2.61E-03	1.49E-04	7.21E-04	1.35E-03	3.52E-04	<0.001 <sup>a</sup>	↑

<sup>a</sup> p < 0.05.

Note. IQR, interquartile range; OTU, operational taxonomic unit; SE standard error.

of *Clostridium* was 0.0007 among the HD group (range 0–0.23) and 0.0008 among healthy controls (range 0–0.01); p = 0.17. The median abundance of genus *Staphylococcus* was 0 among the HD group (range 0–0.027) and 0 among healthy controls (range 0–0.001); p = 0.026.

### Correlation between clinical parameters and major *Proteobacteria* OTUs

Table 3 shows the correlations between clinical parameters and abundance of major *Proteobacteria* OTUs. At phylum level, no clinical factors correlated significantly with *Proteobacteria*. At genus level, ID 1111294 (*Escherichia-Shigella* complex) increased among HD patients hospitalized within 1 year (median 0.024 vs. 0.004; p = 0.054). ID abundance of 813217 (*Klebsiella*) correlated negatively with BMI (p = 0.039), was higher among females (p = 0.042), and was associated with emergency room visits during the 1-year follow up period (p = 0.002). Four patients died in the 1-year period, but no associations were noted with major OTUs.

Associations between laboratory parameters and major *Proteobacteria* OTUs are shown in Table 4. At the phylum level, abundance of *Proteobacteria* is associated with lower albumin ( $\geq 3.5$  g/dL 0.057 vs.  $< 3.5$  g/dL 0.168; p = 0.045), nPCR ( $\geq 1.2$  0.045 g/kg/day vs.  $< 1.2$  0.088 g/kg/day, p = 0.015), and BUN ( $< 78$  mg/dL 0.088 vs.  $\geq 78$  mg/dL 0.024; p = 0.003), which may reflect that relatively poor nutritional status is associated with *Proteobacteria*. At the genus level, both abundance of 1111294 and 114510 were associated with higher hemoglobin and lower nPCR, blood urea nitrogen (BUN), ferritin and intact parathyroid hormone (iPTH).

### Quality control

Sequencing quality scores. N = 177. Avg. reads = 85.84. Q30 indicates the probability of an incorrect base call 1 in 1000 times, which means that the base call accuracy (i.e., the probability of a correct base call) was 99.9%. For our criteria, at least 75 percent of the reads in each sample were greater than Q30 and would be used for further analysis. Avg. raw reads = 145119. Avg. effective reads = 101597.

### Discussion

Marked advancement in intestinal microbiota analysis in recent years has enhanced our knowledge of the complex human microbiome but clinical correlations and applications are still limited. Meanwhile, the HD cohort is an ideal candidate for delineating the potential role of gut microbiota because it is already subject to special dietary guidelines. In the present analysis, HD patients were shown to have a higher abundance of *Proteobacteria* in spite of reserved diversity. Genus ID 813217 (*Klebsiella*) was associated with emergency room visits during 1-year follow up. Genus *Blautia* was the most abundant among our HD cohort. The abundance of *C. difficile* and genus *Staphylococcus* was low in HD patients but higher than that found in healthy controls.

Table 2 Major *Proteobacteria* OTUs abundance in hemodialysis patients at genus level, compared to healthy controls.

OTU ID	Class	Order	Family	Genus	Hemodialysis (n = 96)					Healthy control (n = 81)					Mann-Whitney U p value
					Median	IQR	Mean	SE	Median	IQR	Mean	SE			
1111294	Gammmaproteobacteria	Enterobacteriales	Enterobacteriaceae	Escherichia-Shigella	9.44E-03	3.94E-01	6.15E-02	1.03E-02	1.52E-03	6.30E-03	1.55E-02	4.78E-03	<0.001 <sup>a</sup>		
114510	Gammmaproteobacteria	Enterobacteriales	Enterobacteriaceae	Escherichia	2.47E-03	1.01E-01	1.59E-02	2.61E-03	1.49E-04	7.21E-04	1.35E-03	3.52E-04	<0.001 <sup>a</sup>		
813217	Gammmaproteobacteria	Enterobacteriales	Enterobacteriaceae	Klebsiella	3.25E-04	1.25E-01	1.01E-02	2.30E-03	1.13E-04	1.91E-03	6.71E-03	2.27E-03	0.056		
797229	Gammmaproteobacteria	Enterobacteriales	Enterobacteriaceae	Escherichia-Shigella	1.79E-04	1.21E-02	1.54E-03	2.69E-04	2.16E-05	1.71E-04	4.51E-04	1.78E-04	<0.001 <sup>a</sup>		
3829957	Gammmaproteobacteria	Enterobacteriales	Enterobacteriaceae	Escherichia-Shigella	1.45E-04	1.82E-01	8.51E-03	2.90E-03	2.73E-05	3.05E-04	1.81E-03	6.67E-04	<0.001 <sup>a</sup>		
2281837	Gammmaproteobacteria	Enterobacteriales	Enterobacteriaceae	Escherichia-Shigella	1.20E-04	5.09E-03	7.47E-04	1.26E-04	0.00E+00	2.85E-05	4.63E-05	1.17E-05	<0.001 <sup>a</sup>		
231787	Gammmaproteobacteria	Enterobacteriales	Enterobacteriaceae	Escherichia-Shigella	1.16E-04	7.95E-03	1.00E-03	1.73E-04	9.45E-06	6.07E-05	8.26E-05	2.13E-05	<0.001 <sup>a</sup>		
730437	Deltaproteobacteria	Desulfospiriales	Desulfospirillaceae	Cupidesulfobivibrio	1.06E-04	5.59E-03	5.35E-04	9.44E-05	4.35E-04	1.20E-03	1.09E-03	2.12E-04	0.002 <sup>a</sup>		
511908	Gammmaproteobacteria	Enterobacteriales	Enterobacteriaceae	Klebsiella	7.83E-05	6.73E-02	4.79E-03	1.12E-03	2.72E-05	7.29E-04	3.36E-03	1.77E-03	0.015 <sup>a</sup>		
782953	Gammmaproteobacteria	Enterobacteriales	Enterobacteriaceae	Escherichia-Shigella	6.59E-05	3.78E-03	4.90E-04	8.20E-05	9.15E-06	4.81E-05	1.08E-02	6.47E-03	<0.001 <sup>a</sup>		
922761	Gammmaproteobacteria	Enterobacteriales	Enterobacteriaceae	Pantoea-Erwinia	6.19E-05	3.65E-02	1.31E-03	4.59E-04	5.46E-05	2.34E-04	8.95E-04	2.96E-04	0.290		
166908	Gammmaproteobacteria	Enterobacteriales	Enterobacteriaceae	Escherichia-Shigella	5.54E-05	3.25E-03	3.87E-04	7.23E-05	7.91E-06	4.85E-05	1.09E-04	4.11E-05	<0.001 <sup>a</sup>		
1104638	Gammmaproteobacteria	Enterobacteriales	Enterobacteriaceae	Escherichia-Shigella	5.48E-05	2.52E-03	3.36E-04	5.57E-05	0.00E+00	2.05E-05	1.69E-05	4.17E-06	<0.001 <sup>a</sup>		
588216	Gammmaproteobacteria	Enterobacteriales	Enterobacteriaceae	Escherichia-Shigella	4.01E-05	2.95E-03	3.32E-04	6.09E-05	0.00E+00	2.48E-05	8.39E-05	3.17E-05	<0.001 <sup>a</sup>		
581021	Gammmaproteobacteria	Enterobacteriales	Enterobacteriaceae	Enterobacter	3.27E-05	1.32E-02	4.27E-04	1.58E-04	1.97E-05	9.88E-05	2.84E-03	2.43E-03	0.279		

<sup>a</sup> p < 0.05.

Note. IQR, interquartile range; OTU, operational taxonomic unit; SE, standard error.

The alpha diversity of our HD cases is not lower than that of healthy controls,<sup>33</sup> but the composition of microbiota is quite different according to beta diversity. Comparison at the phylum level showed that the main difference is due to decreased *Bacteroidetes* and increased *Firmicutes* and *Proteobacteria*, similar to previous reports.<sup>11–14,34</sup> The increased *Proteobacteria* is of special interest since infection/bacteremia caused by *Proteobacteria* is clinically important. Several previous reports/reviews showed elevated abundance of *Escherichia coli* among Crohn's disease patients and *Proteobacteria* among patients with liver cirrhosis.<sup>2,35,36</sup> In a recent study focusing on gut microbiota among CKD patients, 7 genera (*Escherichia-Shigella*, *Dialister*, *Paraprevotella*, and so on) and 2 species (*Collinsellastercoris* and *Bacteriodeseggerthii*) were considered to be CKD-associated microbiota, and levels of *Escherichia-Shigella* spp. correlated positively with disease severity ( $r = 0.3$ ,  $p < 0.0001$ ).<sup>33</sup> Such reports urged us to perform deeper microbiota analysis for *Enterobacteriaceae*.

A longitudinal follow-up study for *Enterobacteriaceae* among healthy adults showed little stability of *Enterobacteriaceae*, demonstrated by a dynamic phylogroup shift.<sup>37</sup> Still, among their 32470 single colonies, 87% belonged to ten *E. coli sensu stricto* and non-*E. coli*, ranging from <1% to 37% between different individuals. In our cross-sectional results among 96 HD cases, *Escherichia-Shigella* complex comprised 10 of the 20 most abundant forms in the phylum *Proteobacteria* and 19 of the top 20 in the genus increased in the HD group. *E. coli* is a major pathogen known for centuries but detailed typing for *E. coli* is complicated and only 20% of the genome is conserved among *E. coli* strains; also, some *E. coli* strains are host-specific and associated with a specific lifestyle.<sup>38</sup> In the present analysis, some HD cases had *Enterobacteriaceae* abundance exceeding 50%, and OTU 1111294 was always the most abundant. We have no information about whether the OTU annotation by 16sRNA V3–V4 sequencing correlated with Clermont phylotyping for *E. coli*.<sup>39</sup> It is possible that full-length 16sRNA (1500 bp) sequencing would have achieved better resolution for strain identification (not just the genus in this study).<sup>40</sup>

Among the top 20 OTUs within the *Proteobacteria* (HD group), 19 were *Enterobacteriaceae*.<sup>41</sup> A recent update in the taxonomy of *Enterobacteriaceae* based on core and ribosomal proteins comprised 21 conserved signature inserts and deletions (CSIs), and *Pantoea-Erwinia* was considered to be another family in the new classification. *Klebsiella* and *Enterobacter* remained in the family of *Enterobacteriaceae* and were found in the top 20. Both of these bacteria were also important pathogens among HD patients. Clinically, detection of the Gram-negative pathogens ranked from *E. coli* and *Klebsiella* to *Enterobacter*, which correlated well with the list. In fact, enteric bacteria from the gut has been considered the source of bacteremia for decades, and domination of *E. coli* and *Enterococcus faecium* in the gut is shown to occur prior to bacteremia.<sup>42</sup> Rectal colonization of *Klebsiella pneumoniae* is associated with higher risk of subsequent infection among high-risk hosts (colonization prevalence 23%, odds ratio 4.06;  $p < 0.001$ ), but the effect is not evident among community diabetes cases.<sup>43,44</sup> Disease severity in the host may be the

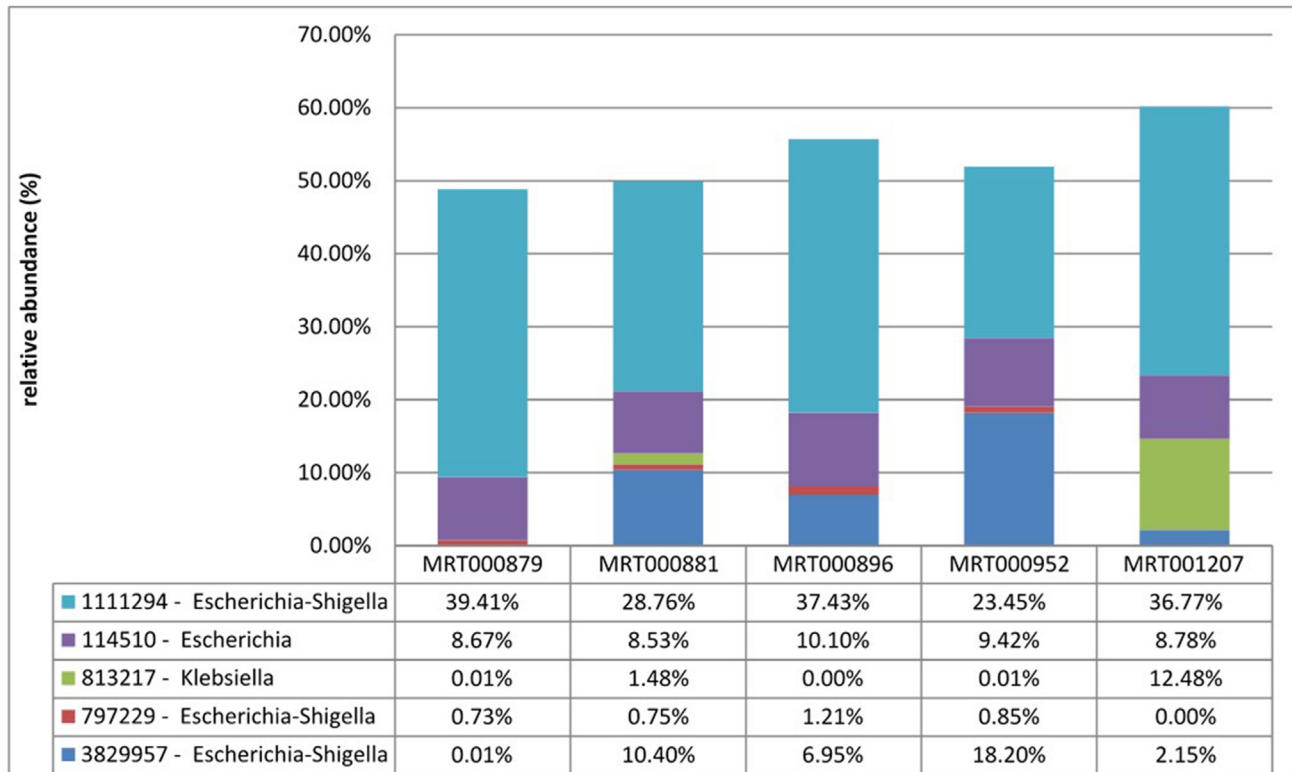


Fig. 4. Major OTUs among top 5 hemodialysis cases with Proteobacteria abundance. OTU, operational taxonomic unit.

key to subsequent infection. Whether microbiota analysis can predict future infection is of clinical relevance, but only if the turnaround time for analysis can be shortened.

We tried to identify the clinical significance of phylum *Proteobacteria* or specific genus OTUs among HD cases, but only ID 1111294 (*Escherichia-Shigella*) increased among HD patients who were hospitalized within 1 year. Also, ID 813217 (*Klebsiella*) correlated negatively with BMI ( $p = 0.039$ ), was higher among females ( $p = 0.042$ ), and was associated with emergency room visits during the 1-year follow-up period ( $p = 0.002$ ). Two patients died in the 1-year period, but no associations were found with major OTUs. Each HD patient has specific individual conditions and it is unlikely that a single OTU would have a strong predictive power in a single measurement. Previously, *K. pneumoniae* was found to be increased in metabolic liver disease and cardiac disease, while *E. coli* was found to be decreased in cardiac disease.<sup>2</sup> In contrast, a peritoneal dialysis study (using real-time PCR) found that *Bifidobacteria/Lactobacillus/K. pneumoniae* were decreased compared to those in healthy controls, and no significant differences were found even in *E. coli* between these groups.<sup>45</sup>

Analysis of laboratory parameters showed that the abundance of *Proteobacteria* was associated with lower levels of albumin, nPCR and BUN, which may reflect relatively poor nutritional status. Undernutrition is associated with increased *Proteobacteria* in early life but overnutrition is also associated with some members of the *Enterobacteriaceae* family.<sup>46</sup> The associations between specific gut microbe and iPTH/hemoglobin/ferritin were

less prominent, suggesting that these parameters were probably manipulated by patients' primary care physicians. A trend was noted showing that high-sensitivity C-reactive protein was associated with higher abundance of *Proteobacteria/Enterobacteriaceae*, but without statistical significance.

*Blautia* is of special interest since it is the most abundant genus among the HD cases.<sup>47,48</sup> *Blautia* species are part of butyrate-producing bacteria and are associated with intake of wheat bran extract enriched in arabinoxylans; depletion of *Blautia* species in obese children is associated with intestinal inflammation.<sup>47</sup> Furthermore, *Blautia* was the only gut microbe significantly and inversely associated with visceral fat, which is strongly associated with cardiovascular disease.<sup>48</sup> Among HD patients in the present study, *Blautia* was inversely associated with *Proteobacteria*. We asked further if this result was due to special dietary habits as a cause, but plant-based protein, mainly soybeans, is also a popular food in Taiwan and is thus a candidate. Plant-based diets are shown to decrease inflammatory markers among HD patients.<sup>49</sup> Only two deaths occurred in one-year follow-up in the present study, compared to 90.1% one-year survival in the incident dialysis patients in Taiwan.<sup>50</sup> This can be explained by our inclusion of only patients who were able to cooperate with stool sample collection. Whether good dietary habits can help to decrease mortality among HD cases warrants further prospective research.

*Bifidobacterium* is shown to be decreased among HD cases. Food plays a central role for gut microbiota. The Western diet, in particular, is associated with an abundance



**Table 3** Correlations between clinical parameters and abundance of major *Proteobacteria* OTUs.

		<i>Proteobacteria</i> (phylum)			1111294 ( <i>Escherichia-Shigella</i> )		114510 ( <i>Escherichia</i> )		813217 ( <i>Klebsiella</i> )	
		n	CC/Median (IQR)	p value	CC/Median (IQR)	p value	CC/Median (IQR)	p value	CC/Median (IQR)	p value
Age		96	0.044	0.67	0.013	0.90	0.019	0.86	−0.013	0.90
Duration of HD		96	0.054	0.61	0.137	0.18	0.137	0.18	0.023	0.82
CCI		96	−0.015	0.88	−0.074	0.47	−0.069	0.50	0.037	0.72
BMI		96	−0.041	0.69	−0.026	0.80	−0.029	0.78	−0.211	0.039 <sup>a</sup>
Sex	female	30	0.089 (0.159)	0.16	0.028 (0.073)	0.29	0.007 (0.017)	0.33	0.001 (0.030)	0.042 <sup>a</sup>
	male	66	0.044 (0.154)		0.006 (0.015)		0.002 (0.015)		0.000 (0.003)	
Vascular catheter	No	81	0.061 (0.161)	0.62	0.006 (0.060)	0.16	0.002 (0.015)	0.18	0.000 (0.007)	0.40
	Yes	15	0.074 (0.167)		0.032 (0.130)		0.007 (0.032)		0.000 (0.004)	
ABX within 3 months	No	67	0.051 (0.124)	0.22	0.008 (0.061)	0.18	0.002 (0.015)	0.16	0.000 (0.005)	0.69
	Yes	29	0.086 (0.231)		0.024 (0.139)		0.006 (0.037)		0.000 (0.016)	
PPI within 3 months	No	85	0.061 (0.157)	0.25	0.008 (0.060)	0.13	0.002 (0.015)	0.13	0.000 (0.007)	0.55
	Yes	11	0.091 (0.252)		0.044 (0.164)		0.010 (0.045)		0.001 (0.004)	
Hospitalization within 1 year	No	61	0.051 (0.139)	0.10	0.004 (0.054)	0.054	0.001 (0.014)	0.08	0.000 (0.006)	1.00
	Yes	35	0.088 (0.198)		0.024 (0.135)		0.006 (0.033)		0.000 (0.006)	
Diabetes mellitus	No	46	0.070 (0.148)	0.54	0.011 (0.064)	0.36	0.003 (0.016)	0.27	0.001 (0.009)	0.31
	Yes	50	0.051 (0.171)		0.008 (0.058)		0.002 (0.014)		0.000 (0.005)	
Diabetes with end-organ damage	No	54	0.064 (0.155)	0.48	0.015 (0.072)	0.12	0.004 (0.020)	0.10	0.000 (0.007)	0.82
	Yes	42	0.051 (0.173)		0.003 (0.053)		0.001 (0.013)		0.000 (0.008)	
Hospitalization within 1-year follow up	No	64	0.052 (0.167)	0.22	0.007 (0.062)	0.16	0.002 (0.014)	0.12	0.000 (0.005)	0.19
	Yes	31	0.074 (0.158)		0.024 (0.070)		0.006 (0.017)		0.000 (0.017)	
ER visit within 1-year follow up	No	67	0.057 (0.124)	0.18	0.010 (0.060)	0.62	0.003 (0.014)	0.68	0.000 (0.003)	0.002 <sup>a</sup>
	Yes	28	0.105 (0.192)		0.009 (0.127)		0.002 (0.034)		0.005 (0.027)	

<sup>a</sup> p < 0.05.

Note. ABX, antibiotics; BMI, body mass index; CC, correlation coefficient; CCI, Charlson comorbidity index; ER, emergency room; HD, hemodialysis; IQR, interquartile range; OTU, operational taxonomic unit; PPI, proton pump inhibitor.

**Table 4** Correlations between laboratory parameters and abundance of major Proteobacteria OTUs.

		Proteobacteria (phylum)				1111294 ( <i>Escherichia-Shigella</i> )			114510 ( <i>Escherichia</i> )			813217 ( <i>Klebsiella</i> )		
		n	Median	IQR	p value	Median	IQR	p value	Median	IQR	p value	Median	IQR	p value
Albumin (median: 4 g/dL)	< Median	43	0.095	0.232	0.036 <sup>a</sup>	0.015	0.135	0.10	0.004	0.033	0.06	0.001	0.016	0.78
	≥ Median	53	0.053	0.089		0.004	0.058		0.001	0.014		0.000	0.005	
Albumin (normal range: ≥ 3.5 g/dL)	<3.5	7	0.168	0.235	0.045 <sup>a</sup>	0.062	0.230	0.06	0.016	0.055	0.06	0.000	0.048	0.71
	≥3.5	89	0.057	0.156		0.008	0.059		0.002	0.014		0.000	0.005	
Hemoglobin (median: 11.2 g/dL)	< Median	47	0.051	0.124	0.15	0.003	0.042	0.007 <sup>a</sup>	0.001	0.014	0.014 <sup>a</sup>	0.001	0.015	0.14
	≥ Median	49	0.073	0.189		0.024	0.135		0.006	0.033		0.000	0.005	
URR (median: 0.72)	< Median	37	0.045	0.139	0.24	0.004	0.045	0.09	0.001	0.012	0.13	0.000	0.004	0.91
	≥ Median	59	0.073	0.160		0.016	0.078		0.004	0.018		0.000	0.014	
Kt/V (median: 1.53)	< Median	48	0.036	0.203	0.50	0.005	0.058	0.31	0.001	0.029	0.38	0.000	0.003	0.28
	≥ Median	48	0.073	0.152		0.020	0.062		0.005	0.015		0.001	0.023	
nPCR (median: 1.2 g/kg/day)	<1.2	45	0.088	0.238	0.015 <sup>a</sup>	0.016	0.135	0.010 <sup>a</sup>	0.004	0.037	0.008 <sup>a</sup>	0.000	0.004	0.68
	≥1.2	51	0.045	0.120		0.003	0.043		0.001	0.010		0.000	0.008	
BUN (median: 78 mg/dL)	< Median	47	0.088	0.228	0.003 <sup>a</sup>	0.024	0.135	0.015 <sup>a</sup>	0.006	0.036	0.013 <sup>a</sup>	0.000	0.017	0.38
	≥ Median	49	0.024	0.102		0.006	0.043		0.001	0.010		0.000	0.003	
Ferritin (median: 332 ng/mL)	< Median	48	0.075	0.252	0.10	0.020	0.160	0.032 <sup>a</sup>	0.005	0.045	0.049 <sup>a</sup>	0.000	0.006	0.28
	≥ Median	48	0.039	0.118		0.004	0.043		0.001	0.013		0.001	0.007	
iPTH (normal range: 300 pg/mL)	<300	60	0.082	0.151	0.06	0.024	0.061	0.048 <sup>a</sup>	0.006	0.015	0.043 <sup>a</sup>	0.001	0.005	0.60
	≥300	36	0.025	0.181		0.003	0.042		0.001	0.028		0.000	0.013	
hsCRP (normal range: < 0.3 mg/dL)	<0.3	43	0.053	0.115	0.19	0.006	0.060	0.48	0.001	0.014	0.36	0.000	0.004	0.73
	≥0.3	53	0.074	0.189		0.012	0.102		0.003	0.031		0.000	0.015	

<sup>a</sup> p < 0.05.

Note. BUN, blood urea nitrogen; hsCRP, high-sensitivity C-reactive protein; iPTH, intact parathyroid hormone; IQR, interquartile range; nPCR, normalized protein catabolic rate; OTU, operational taxonomic unit; URR, urea reduction ratio.

of *Bifidobacterium*, and a randomized controlled trial showed that decreased consumption of advanced glycation end products decreases *Bifidobacterium animalis*.<sup>51</sup> Dairy food has high phosphorous content and is not recommended for HD patients. Interestingly, a correlation between *Bifidobacterium* and *Blautia* was found in healthy controls, but not among HD cases, which may reflect that HD cases consume fewer dairy products. Another recent study about body weight among HD cases showed that alpha diversity positively correlated with BMI, which is consistent with results of the present study; and *Bifidobacterium* and butyrate-producing bacteria decreased in obese patients.<sup>52</sup> Guidelines for nutrition in HD patients make no special mention of a plant-based protein diet, although they encourage fruits and vegetables, which may help to decrease body weight.<sup>7</sup> The abundance of other important pathogens among HD cases included *Staphylococcus* and *C. difficile*.<sup>53,54</sup> The overall abundance of pathogens was low but was still higher among HD cases than that in controls.

A very recent study also conducted in Taiwan analyzing HD patients showing different results compared to our study.<sup>55</sup> In their study, the most abundant genus in HD patients was *Bacteroides*, but *Blautia* is the most abundant genus in our result. Interesting, high abundance in *Blautia producta* was found in peritoneal dialysis group of their cohort. Moreover, in their LEfSe analysis, phyla *Proteobacteria* (LDA score >4) and *Verrucomicrobia* were predominant in HD patients, but no detailed analysis was performed for *Proteobacteria* in their study.

This study has several limitations. First, the accuracy of species identification is limited with V3/V4 sequencing, thus, comparison at the genus level was performed for most OTUs. Whether full-length 16S rRNA sequencing could provide more information warrants further investigation.<sup>40</sup> We analyzed several samples with high abundance of genus *Klebsiella* and found that over 90% of genus *Klebsiella* were *K. pneumoniae*. However, full-length analysis still could not differentiate *E. coli* from *Shigella* based on 16S rRNA sequencing. Secondly, we used the Greengenes database and OTU for analysis, while newer databases are available with ASV-based analysis. However, in the study of Martinson et al. about *Enterobacteriaceae*,<sup>37</sup> OTU-based analysis was slightly more accurate than ASV-based analysis. Third, we only collected stool samples once, but we had the strength of deep phenotyping and long-term follow up. Fourth, we did not analyze the food habits of each individual, which may reflect possible correlates to the results of microbiota analysis. Fifth, HD patients had special dietary guidelines which may strongly affect their microbiota. Moreover, age and sex difference between control and HD cases might affect the result of microbiota composition compared to healthy group, but the analysis within HD group is not affected by the difference. Age and sex are not associated with abundance of *Proteobacteria* among HD group.

## Conclusion

The abundance of pathogens is low among HD patients and healthy controls, but abundance still remains higher among HD patients. Alpha diversity in the HD population is not

lower than that of healthy controls but composition of the microbiota is notably different in the two groups according to beta diversity, due mainly to decreased *Bacteroidetes* and increased *Firmicutes* and *Proteobacteria*. HD patients have a higher abundance of *Proteobacteria* in spite of reserved diversity, but no correlations with clinical factors is noted. Genus ID 813217 (*Klebsiella*) is associated with emergency room visits during 1-year follow up after hospitalization. Deeper microbiota analyses for *Enterobacteriaceae* are necessary. Whether change in dietary components can help to decrease mortality among dialysis population warrants further research.

## Declaration of competing interest

The authors declare that they have no competing interests.

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## Author contributions

H-YW, Y-TL, and C-HL conceived and designed the study. All authors were responsible for the acquisition, analysis, and interpretation of the data. All authors accessed and verified the data. H-YW, W-CT, H-SH, and C-HL contributed to the statistical analysis. H-YW, Y-LC, S-PH, Y-SP, and C-HL provided administrative, technical, and material support. H-YW, Y-TL, M-JK, and C-HL contributed to the acquisition of funding for the study. H-YW, J-YY, M-FP, S-PH, Y-SP, and C-HL supervised the study. H-YW, Y-TL, and C-HL drafted the manuscript. All authors critically revised the manuscript and approved the final version of the manuscript. H-YW and Y-TL contributed equally as first authors to this work.

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### Ethics statement

The study protocol was approved by the Far Eastern Memorial Hospital Institutional Review Board (107027-F, 107140-E). All included patients and healthy controls provided signed informed consent to participate in the study.

### Data availability

Deidentified data will be made available for researchers who provide a methodologically sound proposal upon reasonable request to the corresponding author (<https://>

zenodo.org/record/6777118#.Yr4m1nZBwfk; <https://doi.org/10.5281/zenodo.6777117>).

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## Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.jmii.2022.12.001>.