

# **Retinol, Retinoic Acid, and Retinol-Binding Protein 4 are Differentially Associated with Cardiovascular Disease, Type 2 Diabetes, and Obesity: An Overview of Human Studies**

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# **ABSTRACT**

Vitamin A is a fat-soluble essential nutrient obtained from plant- and animal-based sources that has roles in growth, vision, and metabolism. Vitamin A circulates mainly as retinol bound to retinol-binding protein 4 (RBP4), and is delivered to tissues and converted to retinoic acid, which is a ligand for several nuclear receptors. In recent years, aspects of vitamin A metabolism have been under scrutiny with regards to the development of metabolic and lifestyle diseases including cardiovascular disease (CVD), type 2 diabetes mellitus (T2DM), and overweight and obesity in humans. Studies have mainly focused on RBP4 in this context, whereas the major circulating form, retinol, and the major bioactive form, retinoic acid, have been overlooked in this regard until recently. As one of the main roles of RBP4 is to deliver retinol to tissues for biological action, the associations of retinol and retinoic acid with these diseases must also be considered. In this review, we summarize and discuss recent and available evidence from human studies with focus on retinol, retinoic acid, and RBP4 and provide an overview of these crucial components of vitamin A metabolism in CVD, T2DM, and obesity. In summary, retinol was found to be both inversely and positively associated with CVD whereas the associations with T2DM and obesity were less clear. Although only a few studies have been published on retinoic acid, it was inversely associated with CVD. In contrast, serum RBP4 was mostly found to be positively associated with CVD, T2DM, and obesity. At present, it is difficult to ascertain why the reported associations differ depending on the compound under study, but there is a clear imbalance in the literature in disfavor of retinol and retinoic acid, which needs to be considered in future human studies. Adv Nutr 2020;11:644–666.

Keywords: vitamin A, fat-soluble vitamins, cardiovascular disease, type 2 diabetes mellitus, overweight, obesity, epidemiology

# **Introduction**

Vitamin A is a collective term comprising a group of fatsoluble compounds with essential biological activity ranging from phototransduction in photoreceptor cells of the retina to growth and development. An extensive overview of vitamin A absorption, metabolism, and functions can be found in [\(1\)](#page-18-0). In brief, dietary vitamin A can be obtained from plant-based foods as provitamin A carotenoids (e.g. βcarotene) or animal-based foods as esterified retinol (retinyl esters). Following absorption, vitamin A is transported from intestinal mucosal cells, with chylomicrons as retinyl esters,

<span id="page-0-1"></span>to target tissues or the liver where it can be stored in hepatic stellate cells. Net mobilization of liver stores occurs in times of deficient dietary intake, and retinyl esters are hydrolyzed to release retinol which is subsequently bound to retinolbinding protein 4 (RBP4) and secreted into the circulation from hepatocytes where it binds to an additional transport protein, transthyretin. Once absorbed by target cells, retinol can be converted to either retinaldehyde, which is crucial for normal visual function, or further oxidized to retinoic acid, a ligand for nuclear retinoic acid receptors with a wide array of target genes in growth, development, and metabolism [\(2\)](#page-18-1).

The vitamin A status of an individual is ideally assessed by quantifying liver stores, but given the impracticalities associated with this measure, other biochemical markers such as serum retinol and retinol isotope dilution can be applied [\(3,](#page-18-2) [4\)](#page-18-3). A deteriorating vitamin A status remains a considerable public health concern in developing countries

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Abbreviations used: CAD, coronary artery disease; CRP, C-reactive protein; CVD, cardiovascular disease; RBP4, retinol-binding protein 4; T2DM, type 2 diabetes mellitus.

<span id="page-1-0"></span>

Retinol	<b>Retinoic acid</b>	Retinol-binding protein 4
↑1 Cardiovascular disease	L Cardiovascular disease	↑ Cardiovascular disease
? Insulin resistance/type 2	? Insulin resistance/type 2	$\uparrow$ Insulin resistance/type 2
diabetes mellitus	diabetes mellitus	diabetes mellitus
? Overweight and obesity	? Overweight and obesity	↑ Overweight and obesity

**FIGURE 1** A summary of the main findings. Arrows indicate direction of disease associations; **↑**, positive association; **↓**, inverse association; ?, inconclusive or unknown association.

and supplementation prevents infectious diseases and child mortality [\(5,](#page-18-4) [6\)](#page-18-5). For example, the prevalence of vitamin A deficiency exceeds 60% in some developing countries [\(7\)](#page-18-6), whereas 0.26% are considered to be at risk in a representative US population [\(8\)](#page-18-7). In societies where deficient intake is not a concern, emerging evidence over the last decades suggest that aspects of vitamin A metabolism, such as elevated concentrations of RBP4, are positively associated with obesity, type 2 diabetes mellitus (T2DM), cardiovascular disease (CVD), and overall mortality [\(9–12\)](#page-19-0). However, even though the main function of RBP4 is to deliver retinol to target tissues where it can exert biological effects mainly as retinoic acid [\(1\)](#page-18-0), retinol and retinoic acid have been largely overlooked in this context for unknown reasons until fairly recently. Thus, the overreaching aim of this review is to provide an overview of the existing literature from human populations and interventions that have linked aspects of vitamin A metabolism, including major circulating and bioactive metabolites to major lifestyle diseases including CVD, T2DM, and obesity. On a final note, we will discuss inconsistencies in the findings that challenge interpretation and outline the current knowledge gaps in the field that must be bridged in order to advance our understanding of vitamin A in relation to the above-mentioned diseases.

## **Literature search**

The literature search was conducted between January and June 2019. PubMed was initially screened for relevant articles. The search for studies on RBP4 and CVD was limited to 2016 because Zabetian-Thargi reviewed the observational evidence on this relation in 2015 [\(11\)](#page-19-1). The search terms included the following string ["plasma retinol"(TW) OR "plasma vitamin A"(TW) OR "serum retinol"(TW) OR "serum vitamin A"(TW) OR "serum retinol-binding protein 4"(TW) OR "plasma retinol-binding protein 4"(TW) OR "serum retinoic acid"(TW)] in combination with 1 of the following keywords: diabetes mellitus, type 2; type 2 diabetes mellitus; insulin resistance; stroke; cerebrovascular stroke; cardiovascular disease; coronary artery disease; myocardial infarction; obesity; fat mass; or body adiposity. The search was filtered to include adults only. Additional manual searches of study reference lists and study citation searches were performed. Titles and abstracts were screened for eligibility. We note that because this was not a systematic review, no strict inclusion criteria were applied, except that the studies were of epidemiological or interventional design and included populations that were either *1*) initially healthy or *2*) had developed or were at risk of CVD, T2DM, or obesity without any major and unrelated comorbidities. We did not record reasons for exclusions in a systematic manner.

# **Current Status of Knowledge**

The main findings of this review are summarized in **[Figure 1](#page-1-0)**.

## **Vitamin A and CVD**

A summary of studies included in this section can be found in **[Table 1](#page-2-0)** which lists study type, population, main findings, and relevant effect measures.

# *Retinol and CVD.*

The associations observed for circulating concentrations of retinol and CVD are somewhat conflicting. One early study among first stroke patients suggested that those with higher circulating concentrations of retinol had increased rates of recovery and decreased mortality (no effect sizes given) [\(13\)](#page-19-2). In contrast, results from the β*-*carotene and Retinol Efficacy Trial, which was designed to test whether β*-*carotene and retinyl ester supplementation could prevent cancer in smokers, former smokers, and workers exposed to asbestos, showed that supplementation resulted in a 26% increased risk of cardiovascular mortality in the intervention group compared with controls [\(14\)](#page-19-3). From the observational studies that ensued, it has been difficult to establish a clear-cut relation between retinol and CVD. In a nested case-control study among nearly 10,000 initially CVD-free participants at baseline, 1 unit increase in log-transformed plasma retinol concentration was associated with a 29% decrease in coronary artery disease (CAD) [\(16\)](#page-19-4). Similar findings were reported in an overweight but otherwise healthy population [\(17\)](#page-19-5) where subjects in the upper retinol tertile  $(>2.64 \mu mol/L)$ had 73% lower risk of CVD mortality compared with subjects in the lower retinol tertile  $\left($  < 1.57  $\mu$ mol/L). In 96 individuals with and without T2DM from the Metabolic Syndrome Berlin Potsdam cohort, retinol concentrations were weakly but inversely correlated to carotid artery intimamedia thickness (Pearson's  $r = -0.24$ ) [\(15\)](#page-19-6), an important indicator of atherosclerotic progression. Finally, a recently published study among Chinese subjects at increased stroke risk, reported that risk of first stroke was lowered by 8% per 0.35 μmol/L increase in serum retinol [\(21\)](#page-19-7). Collectively, these observational studies indicate that high compared



2.80 μmol/L: 1.5 (1.2, 2.0)

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<span id="page-2-0"></span>TABLE 1 Vitamin A, related metabolites, and cardiovascular disease<sup>1,2</sup> **TABLE 1** Vitamin A, related metabolites, and cardiovascular disease<sup>1,[2](#page-5-1)</sup>

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ACE, angiotensin-converting enzyme; aHEI, alternative healthy eatiny heating index; AMI, acute myocardial infarction; ARB, angiotensin II receptor blocker; CHD, coronary heart disease; CRP, C-reactive protein; CVD, cardiov

blood pressure; HbA1c\_glycated hernoglobin; ICD, International Classification of Diseases; MIT, intima-media thickness; LC-M3/M3, liquid chromatography tandem mass spectrometry; RBP4, retinol-binding protein 4; 5BP, 9ystol

with low plasma retinol concentrations are advantageous in terms of CVD, and it is appealing to conclude that the higher retinol in circulation the better in this context. However, this view has been challenged by findings from the NHANES III showing that both reduced and elevated retinol concentrations in plasma beyond a clinical reference range (1.05 to 2.80 μmol/L) increased the risk of CVD and mortality from coronary heart disease, thereby suggesting a U-shaped relation for plasma retinol and CVD [\(18\)](#page-19-8). Additionally, recent results from a cohort consisting of patients hospitalized for suspected CAD indicate that retinol may modify the risk of incident CVD associated with established risk factors including apoB, apoA1, and total homocysteine. Specifically, patients with circulating concentrations of retinol exceeding 3.10 μmol/L exhibited 35% increased risk of CVD per 1 SD increase in apoB, and a 42% increased risk of CVD per 1 SD increase in the ratio of apoB relative to apoA-1. In addition, a 1 SD increase in apoA-1 concentration was associated with a 13% risk reduction [\(19\)](#page-19-9). In a subsequent article from the same core population, it was shown that patients with circulating concentrations of retinol exceeding 3.20 μmol/L, a 1 SD increase in plasma total homocysteine concentration was associated with a 25% increased risk of CVD in 2205 patients with suspected CAD [\(20\)](#page-19-10). Taken together, although the findings on the relation between serum retinol and CVD suggest that higher retinol concentrations may be beneficial compared with lower concentrations in terms of risk, elevated concentrations beyond the upper limit of the reference range, may be harmful in itself or affect CVD risk in other ways such as the reported effect modification on other risk factors.

## *Retinoic acid and CVD.*

At the time of the literature search, very few observational studies had addressed the relation between retinoic acid and CVD. One study among ∼1500 Chinese patients with angiographically verified CAD reported that CVD mortality was reduced by 32% for each SD increase in serum all*trans* retinoic acid [\(22\)](#page-19-11). A recently published study among 1530 acute ischemic stroke patients showed that patients in the upper 3 quartiles of circulating retinoic acid had a substantially reduced (63%) 6-mo CVD mortality compared with patients in the lowest quartile [\(23\)](#page-19-12). Based on these findings, the authors cited experimental studies and speculated that retinoic acid may be considered in the treatment of CVD; however, there are some inherent problems with this statement. For example, isotretinoin, a synthetic retinoic acid isoform (13-*cis* retinoic acid), which is readily converted to all*-trans* retinoic acid in target cells, has been in clinical use for dermatological conditions for some time. One common side effect of isotretinoin treatment is dyslipidemia [\(31,](#page-19-20) [32\)](#page-19-21) as well as elevation of plasma total homocysteine concentration as recently reviewed [\(33\)](#page-19-22). Although these effects may *1*) be transient, *2*) depend on dosage, and/or *3*) be due to differential effects of the 13-*cis* retinoic acid compared with all-*trans* retinoic acid, caution should be taken in making

recommendations for retinoic acid usage in CVD treatment as risk factors may be aggravated as a result. At present, no trials have addressed potential treatment benefits of retinoic acid in the context of CVD or stroke prevention and more preclinical and observational evidence are needed before trials can commence.

# *RBP4 and CVD.*

In their review from 2015, Zabetian-Thargi and colleagues reported an association between RBP4 and CVD but called for more accurate observational and longitudinal studies on this association in order to establish a relation [\(11\)](#page-19-1). Thus, we have limited the section of RBP4 to the observational studies that have surfaced since then, several of which are longitudinal by design.

In 3505 healthy, CVD-free individuals participating in the Framingham Third Generation Cohort, total RBP4 concentrations were positively correlated to mean arterial pressure  $[\beta \, (95\% \, \text{CI})$  for mean arterial pressure per SD increase in RBP4: 1.15 (0.78–1.51)] [\(25\)](#page-19-14). Data from this cohort were clearly compatible with a positive correlation between RBP4 and aortic stiffness even though the reported CI crossed the null [ $\beta$  (95% CI) for -1000/carotid femoral pulse wave velocity per SD increase in RBP4: 0.58 (–0.08, 1.25)]. In a smaller cross-sectional study, RBP4 was postively correlated to systolic (Pearson's  $r = 0.47$ ) and diastolic blood pressure (Pearson's  $r = 0.36$ ) in prehypertensive Chinese individuals [\(27\)](#page-19-16). In contrast, among 950 men with T2DM with 22 y of follow-up data, a clear trend for an inverse association was found for total RBP4 concentrations and cardiovascular mortality [HR (95% CI) for CVD mortality, 3rd versus 1st tertile: 0.73 (0.50, 1.07)] [\(24\)](#page-19-13). In the context of stroke, 1 prospective case-control study based in the Nurses' Health Study reported an overall inverse risk of stroke in the upper versus lower quartile of circulating RBP4, but the finding was inconclusive [OR: 0.75, 95% CI (0.48, 1.17)] [\(28\)](#page-19-17). In contrast, 1 unit increase in RBP4 concentration was associated with a 3% increase in ischemic stroke risk, and a 7% increase in stroke severity in a Chinese population [\(30\)](#page-19-19), and has been proposed as an independent predictor of poor short-term prognosis following first stroke [\(29\)](#page-19-18). Finally, in an interdisciplinary study combining data from an initially healthy Chinese population and mice, it was first shown that subjects in the upper quartile of circulating RBP4  $(>57.8)$ μg/mL) had a 47% increase in risk of major cardiovascular events [\(26\)](#page-19-15). The authors then went on to show that apo-RBP4 (RBP4 not bound to retinol) can promote atheroslclerotic progression in the same manner that holo-RBP4 (RBP4 bound to retinol) can.

# **Vitamin A and T2DM**

The literature concerning vitamin A metabolism and T2DM has been dominated by RBP4 since it was established as an adipokine in the early 2000s [\(34\)](#page-19-23). Although some evidence points towards RBP4 having effects independently of its transport of retinol [\(26,](#page-19-15) [35\)](#page-19-24), retinol and retinoic acid have been more or less neglected in this context even though the



<span id="page-7-0"></span>TABLE 2 Vitamin A, related metabolites, and insulin resistance and diabetes<sup>1,2</sup> **TABLE [2](#page-11-1)** Vitamin A, related metabolites, and insulin resistance and diabetes<sup>1,2</sup>

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presumed main function of RBP4 is to deliver retinol to tissues where it can exert biological function. A summary of published studies including insulin resistance and T2DM follows below and the studies included in this section are summarized in **[Table 2.](#page-7-0)**

## *Retinol, retinoic acid, insulin resistance, and T2DM.*

Although relatively scarce, some observational studies have assessed the relation between retinol and retinoic acid and diabetes. One case-control study found that serum retinol concentrations were normal in patients with T2DM and did not differ substantially from healthy controls [\(37\)](#page-19-26). In a study where >900 subjects underwent an oral-glucose-tolerance test, insulin resistance did not differ by retinol quartiles [\(38\)](#page-19-27). In contrast, 1 case-control study including subjects free of diabetes, but with impaired glucose tolerance, reported higher serum concentrations of retinol in cases compared with healthy controls with normal glucose tolerance (2.5 compared with 2.1  $\mu$ mol/L) [\(36\)](#page-19-25). More recently, a prospective case-control study examining metabolomic signatures including 55 prediabetic women and 220 healthy controls reported that several aspects of vitamin A metabolism were positively associated with 7-y incidence of T2DM [\(39\)](#page-19-28). Using machine-learning techniques, the authors identified plasma retinyl esters, retinaldehyde, all-*trans* retinoic acid, and 4-hydroxy retinoic acid (a retinoic acid degradation product) as positively associated with incident T2DM. In addition, a high ratio of retinoic acid to retinaldehyde ( $\geq$ 2.76) was associated with a doubling in the 7-y risk of developing T2DM compared to those with a ratio  $\leq$ 2.76. Adding the ratio to a predictive model including baseline fasting glucose, age, and  $\gamma$ -glutamyl transpeptidase increased the area under the receiver-operating characteristic curve from 0.809 to 0.840, indicating improved prediction of incident T2DM.

Collectively, the few studies that have been performed on retinol, retinoic acid, and diabetes are somewhat conflicting. One reason for the discrepancies observed between studies may be that serum retinol concentrations in established diabetes may be affected by increased urinary excretion observed in diabetic nephropathy [\(64\)](#page-20-17).

#### *RBP4, insulin resistance, and T2DM.*

One of the first human studies suggesting a role for RBP4 in insulin resistance and diabetes was published in 1999 [\(37\)](#page-19-26). Although no effect sizes were given, this cross-sectional study reported that RBP4 concentrations were higher among cases with T2DM than in healthy controls. Later, a study published in the *New England Journal of Medicine* by Graham et al. reported that RBP4 correlated strongly with fasting insulin (Spearman's  $r = 0.72$ ) and glucose disposal rate ( $r = -0.78$ ) in a relatively small sample of subjects with either T2DM, impaired glucose tolerance, or obesity [\(41\)](#page-19-30). This finding was succeeded by a considerable amount of research in human subjects that observed a positive association between RBP4, insulin resistance, and T2DM [\(40,](#page-19-29) [42–44,](#page-19-31) [46–48,](#page-19-35) [54,](#page-20-7) [57–59,](#page-20-10) [61\)](#page-20-14) as well as candidate single nucleotide polymorphisms in *Rbp4* associated with T2DM [\(65–68\)](#page-20-18). The effect sizes initially

reported by Graham in 2006 were large, whereas later studies found effects that were much more modest in size (see [Table 2](#page-7-0) for details). One explanation for these discrepant findings may be that the populations under study vary widely based on health condition, sample size, study type (cross-sectional or case-control), and the ethnicity of the included populations.

Some studies also report null or weak associations between RBP4 and insulin resistance [\(45,](#page-19-34) [49,](#page-20-2) [51,](#page-20-4) [56,](#page-20-9) [60\)](#page-20-13) in different patient groups such as CAD [\(49\)](#page-20-2), liver cirrhosis [\(55\)](#page-20-8), and polycystic ovary syndrome [\(50,](#page-20-3) [52\)](#page-20-5). Although it is plausible that the effects of RBP4 may vary with patient group, it should be pointed out that the studies showing null or weak findings generally enrolled fewer subjects (*n* <100) and may not be large enough to detect relevant effects. Another challenge with the research on the RBP4- T2DM association includes the lack of prospective data. However, in recent years, 2 longitudinal studies with 6 and 9 y of follow-up indicated that baseline concentrations of RBP4 were associated with incident T2DM independently of several other risk factors. Specifically, a nested case-control study enrolling 1080 subjects from the Atherosclerosis Risk in Communities cohort reported a 43% increased risk of incident diabetes in women, whereas results were less clear in men [\(62\)](#page-20-15). In a Chinese population consisting of 2091 men and women aged between 50 and 70 y, subjects in the upper RBP4 quartile had a 48% increased risk of developing T2DM compared with subjects in the first quartile [\(63\)](#page-20-16). In contrast, 1 prospective study with 3 y of follow-up showed that although insulin resistance increases over time in 206 obese subjects, RBP4 does not [\(53\)](#page-20-6). This particular finding indicates that the association between insulin resistance and RBP4 may be confounded by other factors such as kidney function, which has previously been reported (69– [74\). On a final note, some pharmacological interventions](#page-20-19) for the treatment of T2DM and insulin resistance have demonstrated a concomitant decrease in RBP4 [\(48,](#page-20-1) [75,](#page-20-20) [76\)](#page-20-21), but no drugs or trials have been designed to specifically target RBP4.

As for CVD, an important distinction that has yet to be fully elucidated is the potential differing effects of holo- and apo-RBP4 in the etiology of insulin resistance. Two studies have assessed this relation by utilizing either the ratio of retinol to RBP4 [\(77\)](#page-20-22) or the ratio of RBP4 to retinol [\(35\)](#page-19-24) in circulation, both of which indicate the relative amount of holo- and apo-RBP4. The retinol: RBP4 ratio was indeed lower in obese subjects [\(77\)](#page-20-22). In addition, it was shown that although both total RBP4 and retinol were lower among patients with T2DM compared with healthy controls, the RBP4: retinol ratio was higher among these patients [\(35\)](#page-19-24). Collectively, these studies indicate that RBP4 may exert effects independently of retinol.

## **Vitamin A and obesity**

As for insulin resistance and T2DM, interest in metabolites related to vitamin A and obesity have mainly been centered on RBP4 in recent years. However, some evidence from human studies relating retinol to obesity also exist, although



<span id="page-13-0"></span>TABLE 3 Vitamin A, related metabolites, and obesity<sup>1,2</sup> **TABLE 3** Vitamin A, related metabolites, and obesity<sup>1,[2](#page-14-1)</sup>

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<sup>1</sup> All effect measures are given as HR/OR (95% Cls) unless otherwise noted.<br><sup>2</sup>CT, computed tomography; EIA, enzyme immunoassay; RBP4, retinol-binding protein 4; RIA, radio immunoassay; T2DM, type 2 diabetes mellitus. 1All effect measures are given as HR/OR (95% CIs) unless otherwise noted. 2CT, computed tomography; EIA, enzyme immunoassay; RBP4, retinol-binding protein 4; RIA, radio immunoassay; T2DM, type 2 diabetes mellitus.

this relation is currently not clear. The studies discussed in this section are summarized in **[Table 3.](#page-13-0)**

# *Retinol and obesity.*

One study comparing obese and nonobese subjects found no differences in circulating retinol between the 2 groups [\(77\)](#page-20-22). Among 78 healthy males, serum retinol concentrations were moderately and positively correlated to abdominal fat mass (Pearson's  $r = 0.22$ ) [\(78\)](#page-20-23). In contrast, in a cohort of 85 morbidly obese subjects where the majority had nonalcoholic fatty liver disease, BMI was reported as a negative predictor of serum retinol ( $\beta$  per unit increase in BMI = -0.23) [\(79\)](#page-20-24). This discrepancy is not surprising, considering that liver injury can impair the hepatic storage capacity of retinol and lead to lower circulating concentrations [\(90\)](#page-21-9). Finally, 1 recently published study from the NHANES population showed that although serum retinol did not increase with increasing BMI, it increased with the number of metabolic syndrome criteria [\(80\)](#page-20-25). In addition, serum retinol concentrations above clinical reference ranges that were not defined in the publication doubled the odds of having metabolic syndrome. Thus, the association of retinol with obesity remains elusive, but may depend on underlying disease (liver) or other conditions (metabolic syndrome).

#### *RBP4 and obesity.*

Several human studies have shown that RBP4 concentrations in blood are related to body fat and differentially associated with various body fat compartments. One study among 196 participants reported that RBP4 is expressed at higher levels in visceral fat compared with subcutaneous fat depots and concluded that RBP4 may be a marker of intra-abdominal fat mass [\(82\)](#page-21-1). This pattern has also been observed in epidemiological studies: a study among >1000 Chinese subjects reported that RBP4 concentrations were not associated with BMI but positively yet weakly correlated to visceral body fat among men (Spearman's  $r = 0.17$ ) and women (Spearman's  $r=0.22$ ), respectively [\(76\)](#page-20-21). In a population with nonalcoholic fatty liver disease, a positive and moderate association was observed for visceral fat and circulating RBP4 (SD increase in RBP4 per SD increase in visceral fat  $= 0.36$ ), whereas no associations were observed for subcutaneous or hepatic fat [\(87\)](#page-21-6). In 102 healthy women, RBP4 concentrations were strongly and positively correlated to visceral fat mass as measured by computed tomography (age and BMI-adjusted Pearson's  $r = 0.50$ , but not with total body fat as measured by DXA (age and BMI-adjusted Pearson's  $r = 0.02$ ) [\(83\)](#page-21-2). In 200 women with polycystic ovary syndrome, serum RBP4 concentrations were weakly but positively correlated to both BMI and waist circumference, which is a commonly used proxy for intra-abdominal fat [\(44\)](#page-19-33). In addition, RBP4 was moderately and positively correlated to abdominal fat mass among healthy Caucasian males (Pearson's  $r = 0.26$ ) [\(78\)](#page-20-23).

Further strengthening the association between RBP4, fat mass, and obesity, some studies have shown that serum RBP4 responds to weight loss. Although no effect sizes were given, pharmacological treatment with rosiglitazone that resulted

in visceral body fat reductions concomitantly reduced RBP4 [\(76\)](#page-20-21). One study among morbidly obese individuals (BMI ∼46) that underwent gastric bypass showed that serum RBP4 concentrations were reduced 6 mo after surgery [\(81\)](#page-21-0). Moreover, the reduction in waist circumference and visceral body fat after bariatric surgery in 36 subjects correlated positively with reductions in serum RBP4 (Spearman's  $r = 0.36$  and 0.42, respectively) [\(85\)](#page-21-4). In a prospective study among 2208 subjects in the Framingham Third Generation Cohort, participants in the 4th quartile of log-transformed serum RBP4 had a 75% increased risk of metabolic syndrome compared with those in the 1st quartile [\(88\)](#page-21-7). Although these results seem convincing, not all studies show a positive association of RBP4 with obesity and/or fat mass. One study among 49 women with a BMI ranging from 20 to 49 kg/ $m<sup>2</sup>$ found lower expression of RBP4 in visceral compared with subcutaneous fat depots and no association between serum RBP4 and adiposity (no effect sizes given) [\(84\)](#page-21-3). In a crosssectional study among 709 healthy postmenopausal women, serum RBP4 concentrations were not associated with either BMI or waist circumference  $(86)$ , and 1 small case-control study found no relevant difference in serum RBP4 among obese compared with nonobese controls [\(89\)](#page-21-8). Collectively, most, but not all, data point towards a meaningful association between RBP4 and particularly visceral fat mass.

## **Conclusion**

## **Summary**

The association between compounds related to vitamin A and lifestyle-related diseases have mainly focused on RBP4 in recent years. Considering the essential role of RBP4 in vitamin A metabolism, it is important to establish whether vitamin A metabolites show similar or discrepant associations with lifestyle diseases. In this review we show that both retinol and retinoic acid have been linked to common lifestyle diseases. Briefly, retinol has been both positively and negatively associated with CVD [\(13,](#page-19-2) [15–18,](#page-19-6) [21\)](#page-19-7), T2DM [\(39\)](#page-19-28), and the metabolic syndrome [\(80\)](#page-20-25), and emerging evidence suggests that retinol can modify the relation of other common risk factors with CVD [\(19,](#page-19-9) [20\)](#page-19-10). Less work has focused on circulating concentrations of retinoic acid and disease outcomes, but observational data indicate that it is inversely related to CVD in particular [\(22,](#page-19-11) [23\)](#page-19-12), whereas the association with T2DM is less clear [\(39\)](#page-19-28). For [RBP4, the associations with T2DM \(](#page-20-10)[40–44](#page-19-29)[,](#page-20-10) [46–48,](#page-19-35) [54,](#page-20-7) 57– 59, [61–63,](#page-20-14) [65–68,](#page-20-18) [75,](#page-20-20) [91,](#page-21-10) [92\)](#page-21-11) and obesity [\(44,](#page-19-33) [76,](#page-20-21) [78,](#page-20-23) 81– 83, [85,](#page-21-4) [87,](#page-21-6) [88\) are largely positive, although null findings](#page-21-0) and contrasting observations have been reported for these outcomes [\(45,](#page-19-34) [49–53,](#page-20-2) [55,](#page-20-8) [56,](#page-20-9) [60,](#page-20-13) [86,](#page-21-5) [89,](#page-21-8) [91\)](#page-21-10). Finally, more evidence on the association between RBP4 and CVD is emerging [\(11,](#page-19-1) [24–30\)](#page-19-13).

# **A note on interpretation**

# *Study design and analysis.*

Several challenges remain in the interpretation of the findings in this review. In general, there was a greater tendency of

#### <span id="page-16-0"></span>**TABLE 4** Potential future research objectives



smaller studies showing null or neutral results, indicating that power is an issue, particularly for case-control and cross-sectional studies [\(15,](#page-19-6) [36,](#page-19-25) [40,](#page-19-29) [41,](#page-19-30) [43,](#page-19-32) [45,](#page-19-34) [47,](#page-20-0) [50–52,](#page-20-3) [55,](#page-20-8) [56,](#page-20-9) [59,](#page-20-12) [60,](#page-20-13) [79,](#page-20-24) [78,](#page-20-23) [81,](#page-21-0) [84–86,](#page-21-3) [89\)](#page-21-8). Most studies used adequate clinical endpoints (both surrogate and hard endpoints), but some lack rigorous reporting of results, omitting effect sizes and reporting *P* values only, which limits comparability. In addition, several studies categorized plasma/serum concentrations of metabolites into various quantiles which limits interpretability and generalization because the resulting cutoffs vary greatly from study to study. For example, the upper quartile cut-off for serum RBP4 in  $(26)$  was  $>57.8$   $\mu$ g/mL whereas it was  $>37.2$   $\mu$ g/mL in [\(28\)](#page-19-17), making it difficult to compare findings because the definitions of e.g. "high" RBP4 were not consistent. Related to this, the choice of statistical methods used to obtain estimates or *P* values was not always adequately justified—or even specified—in the text (See [Tables 1–](#page-2-0)[3](#page-13-0) for details). For instance, several studies used data-driven methods for the building of multivariable models, and some studies reported only bivariate relations without adjusting for potential confounding factors both of which can introduce substantial bias [\(93\)](#page-21-12). Finally, no studies reporting correlational analyses (i.e. Pearson's or Spearman's r) presented CIs and thus gave no indication of effect estimate uncertainty. These issues can limit the inference and interpretability of the reported observations.

## *Analytical considerations for RBP4.*

As exemplified by [\(83\)](#page-21-2), measurement instruments may affect the precision of the observed associations, particularly for RBP4 and obesity. In addition, Graham et al. identified several drawbacks in the determination of plasma RBP4 among subjects with impaired glucose tolerance [\(94\)](#page-21-13). Specifically, they reported that commercially available ELISA kits of various origin overestimate plasma concentrations of RBP4 in normoglycemic subjects, and underestimate RBP4 in subjects with impaired glucose tolerance compared with quantitative Western blotting. The lack of standardized and validated methods of quantification may introduce significant bias to the measurements and consequently impact results. The authors further recommend quantitative Western blotting as the gold standard of measuring RBP4

or that other methods are validated against Western blotting to ensure accurate measurements. Of the studies included in this review on the relation between RBP4 and insulin resistance, 2 studies used Western blotting to quantify RBP4 [\(41,](#page-19-30) [52\)](#page-20-5), 4 studies used ELISA assays that were validated against Western blotting [\(46,](#page-19-35) [49,](#page-20-2) [51,](#page-20-4) [58\)](#page-20-11), 3 studies used nephelometry [\(42,](#page-19-31) [45,](#page-19-34) [61\)](#page-20-14), whereas the remainder of the studies used ELISA or other enzyme immunoassays for quantification [\(40,](#page-19-29) [43,](#page-19-32) [44,](#page-19-33) [47–50,](#page-20-0) [53–57,](#page-20-6) [59,](#page-20-12) [60,](#page-20-13) [62,](#page-20-15) [63\)](#page-20-16). The discrepancies in the analytical methods used may introduce systematic bias that limits comparability and give biased results. Finally, although similar comparisons have not been performed for populations with CVD or obesity, the analytical challenges observed for RBP4 in subjects with impaired glucose tolerance may well apply to other populations and should be considered when interpreting these studies.

# **Future considerations and knowledge gaps**

*The interplay between RBP4, retinol, and retinoic acid.* The data presented in this review suggest several knowledge gaps that need to be bridged and potential future research questions to be answered in order to advance our understanding of vitamin A in CVD, T2DM, and obesity (**[Table 4](#page-16-0)**). One intriguing question is that although RBP4 and retinol are thought to circulate in a nearly 1:1 manner, RBP4 is more frequently and more strongly related to adverse disease outcomes. Specifically, RBP4 circulates in the body bound to retinol [holo-RBP4 (85%)], whereas the remaining portion circulates as apo-RBP4 [\(95\)](#page-21-14), but it has not been resolved whether total RBP4 or 1 of its fractions (holo- or apo-RBP4) is the main culprit in associating with CVD, T2DM, and obesity. Furthermore, it is not known whether the effect of RBP4 is mediated through retinol or retinoic acid signaling. One study included in this review that provides some insight is the study by Liu et al.  $(26)$ , where they used experimental methods to back-up their observational findings and demonstrated that apo-RBP4 can induce scavenging of oxidized LDL particles by macrophages and induce foam cell formation, a critical feature of atherosclerotic progression [\(96–98\)](#page-21-15). However, evidence from patients with T2DM suggests that total RBP4 can reduce clearance of

proatherogenic lipoprotein particles, indicating that both holo- and apo-RBP4 can exert adverse effects [\(99\)](#page-21-16). Thus, it is difficult to ascertain whether RBP4 acts alone or in conjunction with vitamin A metabolites. One example that argues against the involvement of retinol and retinoic acid signaling in e.g. CVD is that some target genes of retinoic acid include the ATP-binding cassette A1 [\(100\)](#page-21-17), which regulates cholesterol efflux from macrophages to HDL particles and is a process that is considered antiatherogenic [\(101\)](#page-21-18). Moreover, a review has highlighted several potentially beneficial effects of retinoic acid signaling on the vasculature [\(102\)](#page-21-19), and observational studies included in this review reported that circulating retinoic acid is inversely related to CVD outcomes [\(22,](#page-19-11) [23\)](#page-19-12). Thus, these seemingly discrepant effects of RBP4 and retinol/retinoic acid on adverse health outcomes indicate that the effects associated with RBP4 may not involve retinol delivery to tissues and subsequent retinoic acid signaling. On the other hand, it is not certain that retinol and retinoic acid are mere innocent bystanders in this context as suggested by: *1*) the study showing that products of retinol metabolism and signaling were prospectively related to the development of T2DM [\(39\)](#page-19-28), *2*) the partly positive association of retinol with incident CVD mortality [\(18\)](#page-19-8) and metabolic syndrome [\(80\)](#page-20-25), *3*) our recent findings that serum retinol can modify the effects of common risk factors on CVD development [\(19,](#page-19-9) [20\)](#page-19-10) and finally, *4*) that pharmacological treatment with retinoic acid isoforms induce dyslipidemia [\(31,](#page-19-20) [32\)](#page-19-21) and homocysteinemia [\(33\)](#page-19-22), which are common risk factors for CVD in particular. Whether these potentially adverse effects of retinol and retinoic acid include RBP4 is currently not known although it has been proposed that the RBP4-retinol complex is involved in signaling pathways that are implicated in insulin resistance [\(103\)](#page-21-20). In any case, whether RBP4 acts alone or mediates some of its effects through vitamin A metabolism remains an intriguing question in need of resolution in order to advance our understanding of vitamin A in the development of lifestyle diseases such as CVD, T2DM, and obesity.

# *Serum retinol—new hypotheses and old challenges.*

The finding that retinol can modify the risk relation of common risk factors such as apoB and total homocysteine with CVD has currently only been undertaken in patients with established CVD [\(19,](#page-19-9) [20\)](#page-19-10). Although these findings suggest that there may be subgroups in the population with CVD where elevated retinol concentrations can be particularly harmful, the interaction of retinol with common risk factors of CVD and other diseases should be evaluated in other, preferably healthy cohorts. It would also be useful to assess whether these interactions are also present for RBP4 and retinoic acid in humans, especially considering there is data linking RBP4 to lipoprotein metabolism [\(11,](#page-19-1) [26,](#page-19-15) [99,](#page-21-16) [104\)](#page-21-21) and retinoic acid to homocysteine metabolism [\(105–110\)](#page-21-22).

Another issue in need of resolution is whether retinol is reflective of vitamin A, or whether the somewhat conflicting disease associations are results of confounding. Liver vitamin A stores are considered the gold standard for vitamin A status

assessment  $(3, 4)$  $(3, 4)$  $(3, 4)$ , but due to the difficulty of obtaining liver samples, other measures are regularly considered including serum retinol and retinol isotope dilution. Although serum retinol responds to deficient intake over time, Olson showed in 1984 that concentrations are generally kept under tight homeostatic control [\(111\)](#page-21-23), and high-dose supplementation over time did not increase serum retinol [\(112\)](#page-21-24). Utilization of serum retinol as a measure of vitamin A status can thus be problematic in affluent societies were vitamin A deficiency is not a major concern. The retinol isotope dilution method correlates strongly with liver stores [\(3,](#page-18-2) [4\)](#page-18-3), and is indicative of vitamin A status both in deficiency and excess [\(4\)](#page-18-3). However, no studies included in this review used this method for assessment of vitamin A status, and it is difficult to ascertain whether vitamin A status in itself was associated with disease, or if serum retinol concentrations and outcomes were influenced by other factors that confounded the observed disease associations. Notably, we have recently reported that strong determinants of retinol in circulation include serum creatinine, the sulfur amino acid cysteine, and some inflammatory markers, all of which are related to 1 or several lifestyle diseases [\(113\)](#page-21-25) and are potential sources of confounding. Thus, in order to increase our understanding of whether vitamin A status is truly involved in underlying pathological mechanisms for major lifestyle diseases such as CVD, T2DM, and obesity, more sensitive markers of status, such as retinol isotope dilution, should be considered in the design and implementation of future studies.

On a final note, findings indicating that low serum retinol can increase the risk of CVD presents developing countries with a new public health challenge where vitamin A deficiency still prevails [\(15,](#page-19-6) [18\)](#page-19-8). There is little data available addressing serum retinol and major lifestyle diseases in developing countries, but considering that the incidence of CVD, T2DM, and obesity is increasing, these countries are now increasingly faced with a double burden of disease [\(114,](#page-21-26) [115\)](#page-21-27). Future epidemiological investigations in these populations must therefore take care to identify and adjust for appropriate confounding factors, as an association may arise simply due to the prevalence of both phenomena in these countries.

# *Disease, inflammation, and concurrent effects on retinol and RBP4.*

It is a well-established concept that inflammation can have profound effects on vitamin A metabolism, as reviewed extensively in [\(116,](#page-21-28) [117\)](#page-21-29). Briefly, during the acute-phase response, serum concentrations of RBP4 diminish quickly, as do serum retinol as it binds to RBP4 in a nearly 1:1 molar ratio. The decline in RBP4 and serum retinol is attributed to reduced hepatic RBP4 synthesis and consequently reduced retinol transport capacity in circulation. Thus, the presence of inflammation can disrupt vitamin A homeostasis and falsely indicate vitamin A deficiency even if body stores are adequate [\(118\)](#page-22-0). Lifestyle diseases including CVD, T2DM, and obesity are accompanied by chronic unresolved lowgrade inflammation [\(119\)](#page-22-1), which can theoretically influence vitamin A metabolism in these conditions. However, as highlighted in the present review, RBP4 concentrations are often elevated particularly in T2DM and obesity, and some studies even show weak but positive associations between RBP4 and C-reactive protein (CRP) in these conditions [\(30,](#page-19-19) [46,](#page-19-35) [48,](#page-20-1) [120\)](#page-22-2). The data are less clear for retinol. It has been recommended that retinol concentrations should be adjusted for CRP concentrations in the presence of inflammation [\(118,](#page-22-0) [121\)](#page-22-3), but no studies included in this review applied this adjustment. We note that decreases in RBP4 and retinol are usually seen at CRP concentrations exceeding 5–10 mg/L [\(118,](#page-22-0) [121\)](#page-22-3), and of the studies included in the present review, many did not report CRP [\(13–15,](#page-19-2) [21,](#page-19-7) [27,](#page-19-16) [28,](#page-19-17) [36–45,](#page-19-25) [47,](#page-20-0) [49,](#page-20-2) [57,](#page-20-10) [59,](#page-20-12) [61,](#page-20-14) 61, [53,](#page-20-6) [55,](#page-20-8) [79,](#page-20-24) [78,](#page-20-23) [84,](#page-21-3) [85,](#page-21-4) [87,](#page-21-6) [89\)](#page-21-8), only 4 showed that CRP exceeded 5 mg/L  $(23, 29, 51, 82)$  $(23, 29, 51, 82)$  $(23, 29, 51, 82)$  $(23, 29, 51, 82)$  $(23, 29, 51, 82)$  $(23, 29, 51, 82)$  $(23, 29, 51, 82)$ , and 1 study reported that CRP exceeded 10 mg/L [\(86\)](#page-21-5). It is thus difficult to ascertain whether the presence of low-grade inflammation present in CVD, T2DM, and/or obesity significantly affected serum RBP4 and retinol in these studies.

# *More research is needed on retinoic acid and disease.*

On a final note, from this work it seems clear that the associations of retinoic acid and diseases remain largely unexplored in human observational studies. There may be several reasons for this, 1 of which may be that retinoic acid determination requires highly sensitive and costly methods. Indeed, retinoic acid concentration in circulation is severalfold lower than abundant retinoids such as retinol, retinyl esters, and RBP4 [\(122\)](#page-22-4). For example, there are still doubts that 9*-cis* retinoic acid is an endogenous ligand for retinoic X receptors in part because it is difficult to detect [\(12,](#page-19-36) [123\)](#page-22-5). Thus, the quantification of all-*trans* retinoic acid and its isomers depends on highly sensitive assays that may be less cost-effective than the measurement of e.g. retinol. Thus, resource limitations may have played a significant role in assessing retinoic acid-disease relations. Another challenge is the potential within-person and between-person variability for retinoic acid. For example, it has been demonstrated that all*-trans* retinoic acid concentrations are subject to diurnal variation [\(124\)](#page-22-6), and thus becomes notoriously difficult to measure accurately in a convenience sample (e.g. in patients admitted to a hospital at any time of day). The measurement error introduced by this variability may bias analyses and perhaps cause neutral findings to be discarded and unpublished, and it is difficult to establish disease associations unless highly standardized methods are used to account for this variation.

Outside experimental evidence showing that retinoic acid signaling may play an important role in the development of metabolic disease [\(12,](#page-19-36) [102\)](#page-21-19), very few human studies have explored the relation between circulating retinoic acid concentrations and disease development in humans. However, 2 studies included in this review reported that all-*trans* retinoic acid concentrations were inversely associated with CVD outcomes [\(22,](#page-19-11) [23\)](#page-19-12). Taken together, the methodological

challenges summarized in the above paragraph and the promising findings on circulating retinoic acid and incident CVD, merits future studies to further elucidate and clarify the retinoic acid-disease association and establish clinical relevance.

# **Conclusions**

The studies included in this review suggests that retinol, retinoic acid, and RBP4 are differentially associated with CVD, T2DM, and obesity. Although RBP4 concentrations are generally positively associated with CVD, T2DM, and obesity, retinol and retinoic acid show contrasting associations with lifestyle disease outcomes. Published studies largely focus on RBP4, and only a few of these studies include retinol measurements, and none include data on retinoic acid. Based on this imbalance in the literature, more observational evidence focusing on a broader aspect of vitamin A metabolism is warranted to ascertain whether RBP4 acts by itself or mediates effects through retinol metabolism and retinoic acid signaling. Some remaining challenges include: *1*) utilizing more sensitive markers of vitamin A status to determine whether retinol in particular is truly associated with disease, *2*) analytical and methodological considerations of retinoic acid and RBP4, and *3*) more longitudinal studies for assessment of disease associations. Finally, potential interactions of retinol, retinoic acid, and RBP4 with common risk factors including lipid parameters and total homocysteine should be explored in relation to incident disease outcomes.

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