

STRUCTURE-ACTIVITY RELATIONSHIPS AND SURFACE IMMOBILIZATION OF POLYCATIONIC ANTIMICROBIALS

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Tanggal diterima: 9 November 2019, direvisi: 24 Juni 2020, disetujui terbit: 25 Juni 2020

ABSTRACT

Antimicrobial agents have been the subject of scientific interest for the last few decades. However, the emergence of a novel coronavirus and pandemic that ensued has brought a new sense of urgency to the field of antibacterial research. The most urgent needs to mitigate the pandemic are self-disinfecting health equipment and antimicrobial protective equipment such as gowns for healthcare workers and face masks for the general public. At a time of high demand for antimicrobial products, understanding the mechanisms involved in antimicrobial polymers will be a benefit. In this review, the definition of antimicrobials and their classification according to the mode of action, as well as their chemical structure, were explained and used to build the fundamental understanding about antimicrobials and the working principles behind their action. The discussion continued with factors that affect the antimicrobial activity, which is the focus of the review. The first part of the review deals with free antimicrobial polymers in solution. The effect of molecular weight, counterions, spacer length and alkyl chain to the efficacy of antimicrobial polymers are highlighted and discussed at length. Focus in the second part shifts towards surface-immobilized antimicrobial polymers and their methods of immobilization.

Keywords: polymeric antimicrobial, antimicrobial structure, surface immobilization

INTRODUCTION

Contamination by microorganisms is of great concern in a variety of areas, such as medical devices, healthcare products, water purification systems, hospitals, dental office equipments, food packaging and storage, household sanitation, and still many more.¹⁻³ Bacterial contamination on permanent catheters or implants, for example, can be a major problem. The use of long-term catheters can lead to serious implant-associated infections. In fact, medical implants account for nearly one-half of all nosocomial infections or healthcare-associated infections.⁴ Resolutions of these infections usually require removal of the implant. Because the success of the treatment in these cases is poor, the emphasis has been shifted and placed on ways to prevent catheter-related infections,¹ which in most cases means some kind of antimicrobial pre-treatment. In the United States, approximately 2 million nosocomial infections cost nearly \$11 billion annually.

The food processing industry in the US accounts for nearly 26% of worldwide food processing output.⁵ There are more than 17,000 food-manufacturing factories around the US. During storage and distribution, food products undergo numerous physical, chemical, and microbial changes, which may lead to spoilage of foods.

Stricter requirements regarding consumer health and safety, among other factors, have created an ever-increasing demand for innovation in food packaging. These are particularly important in the area of fresh and extended shelf-life foods. In this regard, antimicrobial packaging has been identified as one of the promising approaches toward the so-called active-packaging system.^{6,7}

The rate of cross-contamination of the pathogen in hospitals is higher than that in any other places because they house a large number of people who are in various conditions of illness from many different types of diseases. The transmission takes place airborne and, more importantly, via the hands of healthcare workers, who are responsible for most of the nosocomial infection cases⁸. This has created a critical problem affecting the quality of healthcare. Preventive measures, including handwashing and the use of an antimicrobial agent, have been considered vital to combat hospital-related infections.

In medical textiles and protective clothing and textiles, the problems with contamination by microorganisms are even more crucial. Some of the examples of medical textiles include babies' diapers, wipes, adult sanitary and incontinence products, as well as operating gowns and drapes, and wound dressings. Included in the second category are personal protective clothing and masks. In the wake

of the recent coronavirus outbreak, the exploration of antimicrobial agents for protective equipment has a new sense of urgency.

A string of pneumonic cases was first reported in December 2019 by the Wuhan Municipal Health Commission of China as a novel coronavirus. Three months after the report, on March 11th, the WHO announced COVID-19 as a pandemic.⁹ Evidence shows the most probable mode of transmission to be through direct contact with an infected person and the exchange of respiratory droplets¹⁰ produced when an infected person coughs, sneezes, or breathes.¹¹ A cure or a vaccine for this virus has yet to be found at the time of this writing. Thus, preventing the spread of the infection has been front and center in the mitigation of this novel virus. Proper upkeep of personal hygiene and the use of effective protective clothing are enforced to minimize the spread of the disease both in hospitals and for the general public. The WHO strongly advises frequent handwashing for everyone and, while previously controversial,¹² wearing face masks in public places are now also encouraged for everyone by the WHO.¹¹ However, protective equipment such as gloves, masks, and medical gowns can also be a source of infection when handled poorly. The virus SARS-CoV of 2003 SARS outbreak showed a survival time of up to 2 days on a disposable gown and 24 hours on cotton gown.¹³ Taking into account the similarity of the current novel coronavirus with the previous type, it is most likely that the current SARS-CoV-2 can also have a similar survival time on textile surfaces. The use of antimicrobial polymers can reduce the survival time of viruses by rendering them un-infectious upon contact.

In the past, a great number of studies have been done on antimicrobial agents since. Figure 1 shows the search result from Scifinder for studies on "antimicrobial or antibacterial" published within the first two decades since that period. The number of publications, which reflects the level of scientific activity as well as interest in the area, is growing exponentially. Consequently, that also strongly suggests that new developments are still arriving on the scene and maybe even heightened, which include new chemicals, more efficient routes of synthesis, novel approaches in their applications to a wider variety of materials, and new characterization methods. Recently there has been a great interest in the development of polymeric antimicrobial or antimicrobial polymers. Recent reviews dealing extensively with this topic are that of Kenawy, Worley & Broughton's,¹ Tashiro's,¹⁴ Muñoz-Bonilla, and Fernández-García's,¹⁵ Siedenbiedel and Tiller's,¹⁶ and Santos et al's.¹⁷ They focused on the synthesis and preparation of polymeric antimicrobials, especially those based on quaternary onium compounds. A brief survey on their

immobilization on surfaces, however, was also given. Lately, much interest has been given to the development of a non-leaching self-sterilizing surface. Successful covalent attachment of polymeric antimicrobial materials on glass,^{18–20} polymer,^{21–28} paper,²⁰ and metal²⁹ have been reported recently. One of the early works in this area is the study by Isquith et al.^{30,31} in the 1970's who used alkoxy silane quaternary ammonium compound to produce durable antimicrobial activity on glass surfaces and cotton cloth.

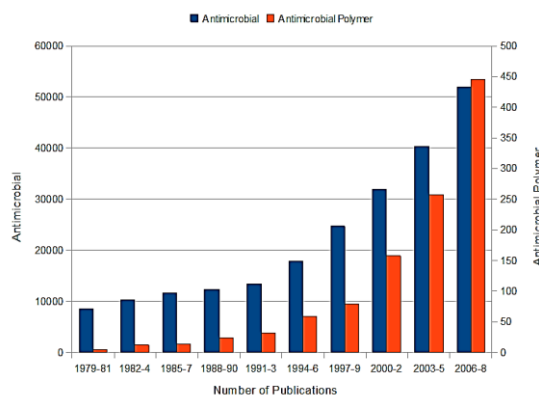


Figure 1. The number of journal publications on antimicrobials published between 1978-2008.

In light of the ongoing pandemic, an understanding of antimicrobial agents is needed. This review attempts to establish an overview of polymeric and surface-bound antimicrobial agents, the factors affecting their efficacy, and the underlying mechanisms of action against microbes. The bulk of the review will be focused on the surface-activity of antimicrobials against bacterial cells. Considering the similarities of bacterial cell surface and the surface of the enveloped viruses such as influenza³² and coronaviruses,³³ an understanding of the above topics may provide insight into the use and production of much-needed antibacterial and antiviral products.

1. Definitions of Antimicrobial Agents

Microbe is a common term for microorganism. The term microorganism itself has several definitions, varying in the degree of inclusion to non-living beings comprised of the building blocks of life such as protein and genetic information. Oxford Dictionary of Biochemistry and Molecular Biology³⁴ defined "microorganism" as "any noncellular or unicellular (including colonial) organism, most of which are too small to be seen with the unaided eye. Microorganisms comprise bacteria (including cyanobacteria), lichens, microfungi, protozoa, rickettsiae, viruses, viroids, and viruses, and also some algae; all prokaryotes are

included". This is the definition that is used throughout this paper.

Several terms on the use of agents having the capability to handle microorganisms have been used in the literature. Sometimes they are used interchangeably and inconsistently, which may confuse the readers. It is, therefore, useful to define these terms before going any further.

The term of antimicrobial agents has been used in the literature to refer to and defined as materials that kill or inhibit the growth of pathogenic microorganisms.^{1,35} The term is indeed a generic one. According to "Oxford Dictionary of Biochemistry and Molecular Biology",³⁴ the term antimicrobial is "describing a drug, antibiotic agent, physical process, radiation, etc. that is inimical to microbes".

Antimicrobials can be divided into two main categories: biocides and biostats. A biocide is a chemical that kills microorganisms, while a biostat is a chemical that inhibits the growth of microorganisms.³⁴ While biocide must be registered with the EPA as a pesticide and labeled with a public health claim, a biostat, however, does not need to be registered, nor does it require special labeling.³⁶

The term "biocide" has been widely used in the literature, especially when authors need to emphasize the killing power of the agents they are referring to. While it may be impressive to the reader, it could be misleading, especially when the agents are not really meant to kill everything. Technically, the term refers to any chemical substance that kills living cells or organisms³⁶, both pathogenic and nonpathogenic, which does not limit it to micro only. It is a generic term, which comprises, among others, microbicides, insecticides, herbicides, and rodenticides.³⁶ On the other hand, microbicide is a generic term that includes bactericides, fungicides, and algicides, to name a few. The same distinction should be used for "biostat" and "microbiostat".

Although a distinction is often made between "static" and "cidal" compounds, the division is by no means clear-cut. Many compounds are static at low concentrations and cidal at higher concentrations, while the effect may also depend on the condition of the culture. According to Franklin and Snow,³⁷ at low concentrations, a certain biochemical function associated with the microbial membrane may be inhibited but may not necessarily lead to cell death, hence antimicrobial is biostatic.

Antibiotics are natural, semi- or wholly-synthetic antimicrobial agents that are able to selectively destroy or inhibit the growth of other microorganisms at low concentrations.^{34,36,38} Some of the common examples are penicillin, neomycin, gentamycin, and quinolone. They are used mostly as chemotherapeutic agents to treat infectious diseases and do not normally find applications in technical

systems. However, successful insolubilizations of antibiotics have been reported on cellulose,³⁹ polyamide (Nylon 6),⁴⁰ poly(methyl methacrylate-co-maleic anhydride), and poly(styrene-co-maleic anhydride).^{41,42} A cautionary note must be given here that antibiotics are not able to kill viruses.

2. Classification of Antimicrobial Agents

Antimicrobial agents can be classified and organized based on their chemical structure and parent compound. In this regard, the work by Paulus³⁶ deserves particular attention and appreciation. It is a directory of antimicrobial agents with quite extensive examples provided in each of the categories. Although it is intended for the protection of materials, the same principles for understanding structure-property relationship apply to other areas as well. Another source that is also worthy of looking at is that of Moore and Payne's,⁴³ in which numerous types of antimicrobial agents are discussed systematically based on their chemical structure with extensive references to the original works.

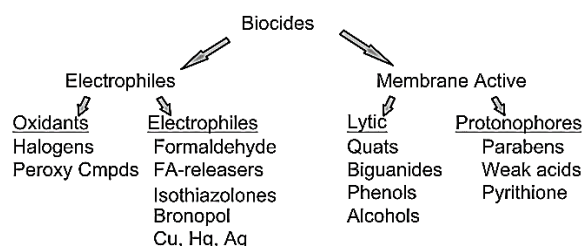


Figure 2. Biocide mechanisms of action.⁴⁴

Antimicrobial agents may also be divided based on their mechanisms of action. Paulus³⁶ divided them into two broad categories, i.e. those that are electrophilically active and membrane-active. Chapman⁴⁴ divided each of the groups further into two sub-categories, which makes four broad categories of antimicrobials and includes oxidants, electrophiles, lytic, and protonophores (Figure 2). The oxidants include rapid speed killing agents such as chlorine⁴⁵⁻⁵⁰ and peroxides⁵¹⁻⁵⁴ that work directly via radical-mediated reactions to oxidize organic material. The electrophilic agents include inorganic ions such as silver, copper, and mercury, and organic biocides such as formaldehyde and isothiazolones. The antimicrobial effectiveness of these substances results from the fact that they are in search of substrates with electron density rich centers such as nucleophilic components of the microbial cell surface (e.g. amino, thiol, and amide groups of amino acids and proteins). The corresponding result of this contact is an electrophilic addition or substitution that leads to the inactivation of enzymes. Cationic membrane-active biocides such as chlorhexidine and quaternary ammonium compounds and alcohols such as phenoxyethanol

destabilize membranes leading to rapid cell lysis. Weak acids such as sorbic and benzoic acids, which belongs to protonophores, interfere with the ability of the cell membrane to maintain a proper pH balance, resulting in acidification of the cell interior and widespread disruption of metabolism.

A more general classification of antimicrobial products is based on their mode of actions. They can function through one of two different mechanisms, known as leaching and non-leaching. The former operates by slowly releasing an active component from a chemical reservoir on either the surface or interior of a substrate. It is considered very effective against microbes on the surface and in the surrounding environment, but the effectiveness will decrease with time as the reservoir is depleted. The halamine-based antimicrobial developed by Sun and co-workers⁵⁵ is one good example of this type of antimicrobial and deserves special attention because it demonstrates the ingenuity in circumventing the limitations inherent in the conventional system. With this novel approach, the once depleted active component, which in this case is chlorine, can be recharged by regular laundry exposure to chlorine bleach, and hence it represents a regenerable system. However, in textile applications, the use of high concentrations of chloramine may cause some problems, which include yellowing with heat (for example, ironing) and cellulose fiber damage, especially significant strength loss, generated by the formation of oxy- and hydrocellulose⁵⁶. In the non-leaching type antimicrobial products, the active component remains at the surface so that their effectiveness, despite permanent, is limited only upon contact and adsorption.

3. Factors Affecting the Efficacy and Activity of Antimicrobial Polymers

Ideally, any given antimicrobial polymer should possess the following characteristics.^{1,56} (1) easily and inexpensively synthesized, (2) stable in long-term usage and storage at the temperature of its intended application, (3) not soluble in water for water-disinfection application, (4) does not decompose to and/or emit toxic products, (5) should not be toxic or irritating to those who are handling it, (6) can be regenerated upon loss of activity, (7) no adverse effect on the substrate it is applied to, and (8) effective against a broad spectrum of pathogenic microorganisms in (9) brief times of contact.

Many factors can affect the performance of antimicrobial agents. According to Russel,⁵⁷ the activity of microbicides depends on the external physical environment, the nature, structure, composition, and condition of the organism; and the ability of the organism to degrade or inactivate the particular substance that converts it to an inactive form (resistance). These are the external as opposed to the internal factors, which are inherent in the

antimicrobial polymer and are identified by Kenawy et al.¹ as molecular weight, spacer length between the active site and polymer, hydrophilic-lipophilic balance (HLB), and the nature of counterion (for "onium"-based antimicrobials). Additionally, the location of the active component relative to the polymer backbone (in-chain or side chain) is also important.

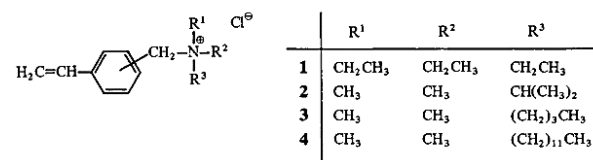


Figure 3. Poly [trialkyl (vinylbenzyl) ammonium chloride].^{58,59}

3.1 Molecular weight

Molecular weight obviously has a significant influence on the efficacy of antimicrobial polymer. The general observation and most significant finding from early studies on molecular weight dependence of antimicrobial activity is that polymeric antimicrobials are much more active than their corresponding monomers. The minimum inhibitory concentration (MIC) of poly[trialkyl(vinylbenzyl) ammonium chloride] (Figure 3), as shown by Ikeda and co-workers,^{58,59} for example, is much lower than its monomeric species by two-orders of magnitude. Further investigation by Ikeda and co-workers⁶⁰ showed not only marked as a dependence of activity on molecular weight but also the existence of an optimum region (Figure 4), which in this particular example is between 5×10^4 and 10×10^4 Da. Later studies, including those with different systems such as quaternary phosphonium salts (Figure 5) and chitosan, show similar trends in which antimicrobial activity increases with molecular weight.⁶¹⁻⁶⁴

The observed molecular weight dependence of the antibacterial activity in the above-cited works may be interpreted based on the contribution of the polymers to each of the elementary steps in their mode of action. The generally accepted mechanism of action for cationic microbicides in killing bacteria involves the following processes:^{14,63,65}

- (1) Adsorption onto the bacterial cell surface
- (2) Diffusion through the cell wall
- (3) Binding to the cytoplasmic membrane
- (4) Disruption of the cytoplasmic membrane.

The latter leads to the release of cytoplasmic constituents such as K⁺ ions, RNA and DNA, which will eventually lead to the cell death.

The bacterial cell surface is negatively charged at physiological pH, whereas cationic microbicides are positively charged. The microbicides are adsorbed onto the surface of the cell wall by electrostatic interaction. Consequently, polycations are adsorbed onto the negatively charged cell surfaces to a greater extent than monomeric

cations because of the much higher charge density carried by them. The adsorption is also becoming more favorable for the binding of the polycation to the cytoplasmic membrane of bacterial cells, since there are many negatively charged species present in the cytoplasmic membrane, such as acidic phospholipid and some membrane proteins. The enhanced adsorption at the two levels of activity (steps 1 and 3) generates higher stress to the cell membrane that induces more of their disruption, which eventually results in a higher number of cell death. While processes 1, 3, and 4 are expected to enhance with higher molecular weight, it should be noted that process two is depressed, in which diffusion of still higher molecular weight is hindered due to size effect. That explains the sharp decrease in activity at much higher molecular weight (Figure 5).

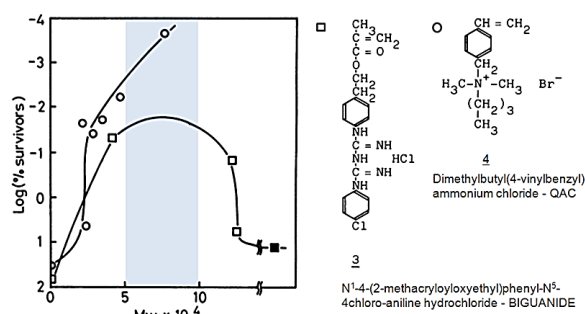


Figure 4. Effect of MW on antibacterial activity against *S. aureus*. □ poly 3, 23 μM ; ○ poly 4, 2.0 μM ; ■ plot for microgel of poly 3.⁶⁰

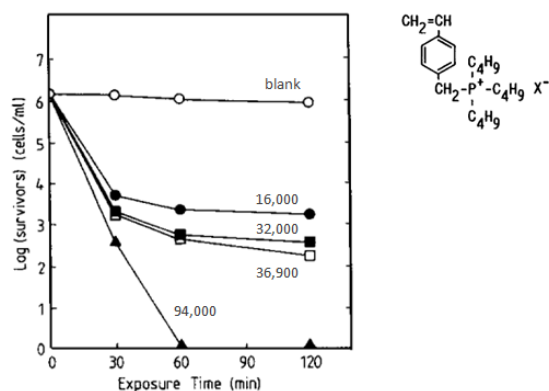


Figure 5. Plots of log (survivors) versus exposure time of *S. aureus* to tributyl(4-vinylbenzyl) phosphonium chloride (2.8 μM) of various MW: ○ blank; Δ 94,000; □ 36,900; ■ 32,000; ● 16,000. X = Cl.

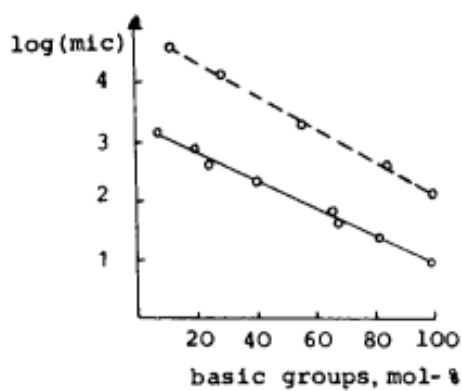
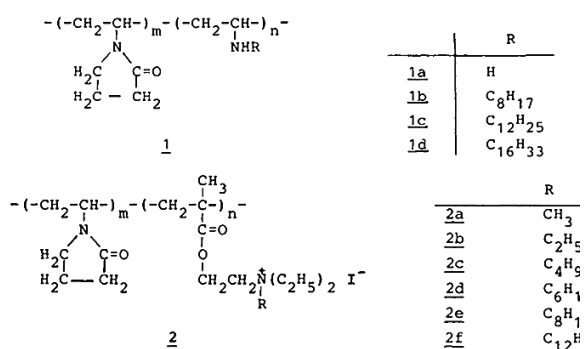
Quite interestingly, on the contrary to the above finding, Panarin and co-workers⁶⁶ reported that antimicrobial activity is independent of molecular weight within the molecular weight range investigated (Table 1). They used water-soluble copolymers of N-vinylpyrrolidone with aminoalkyl methacrylate and their quaternary ammonium salts (Figure 6) against *Streptococcus aureus*, a Gram-positive bacteria. According to Chen et al.⁶⁷ and Kenawy et al.¹ the discrepancy may be explained by taking into account the bacteria structure, which may be divided into two classes, Gram-positive and Gram-negative. Gram-positive bacteria tend to have a loose cell wall, while Gram-negative bacteria have an outer membrane structure in the cell wall providing an additional barrier to foreign molecules. The type of microorganisms is also important, because different organisms show varying responses to biocides.⁶⁸ (pp 111-115) It is also true that the type of microorganism may significantly affect the mechanism of action and hence the performance of antimicrobial agents.^{64,69} However, these cannot be the complete explanation, since the two differently reported behaviors actually came from the same class and type of bacteria, *S. aureus*.

Molecular weight dependence of antimicrobial activity was also found in dendrimer, in which the relationship assumes an interesting parabolic form. Dendrimers are novel, highly branched 3-dimensional macromolecules that emanate from a central core (Figure 7). They have a very compact structure, and many end groups at their outer surface, which can be functionalized for many different purposes, and thus have attracted attention as well as created an upsurge of interest, especially from the material science community. Chen and co-workers⁶⁷ synthesized quaternary ammonium-functionalized poly-(propyleneimine) dendrimers for use as an antimicrobial biocide. They used a series of dendrimers with the same length of alkyl chain but a different generation or number of monomer layers. From Figure 7, it is obvious that a dendrimer with a higher generation would have a higher number of quaternary groups so that it is expected to be more potent because its interaction with cell surfaces is more enhanced, which gives rise to more enhanced adsorption. However, when permeability through the cell wall and the cell membrane is taken into account, the trend should be the opposite, in which larger molecules would experience more difficulty in penetrating the barriers.

Table 1. Effect of molecular weight (denoted by intrinsic viscosity) on antimicrobial activity of cationic polyelectrolyte.⁶⁶

Copolymer	ionogenic groups, mol-%	$[\eta]^a$, dl.g ⁻¹	mic ^b , µg/ml
2b	18.8	1.12	300
	18.0	0.97	300
	18.8	0.84	300
	18.4	0.73	300
1a	23.5	0.96	300
	24.7	0.89	300
	24.4	0.78	300
	25.2	0.50	300

^a0.5 M KCl, 25°C. ^bBased on the test solution of *Staphylococcus aureus* 209P strain.



(b)

Figure 6. Copolymer N-vinylpyrrolidone with aminoalkyl and methacrylate.⁶⁶

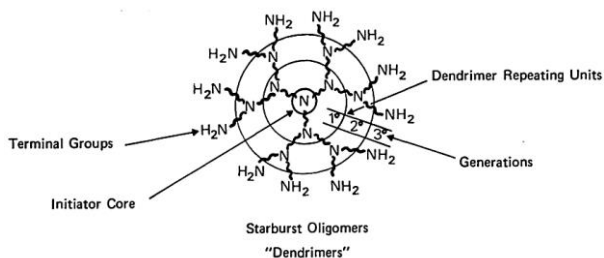
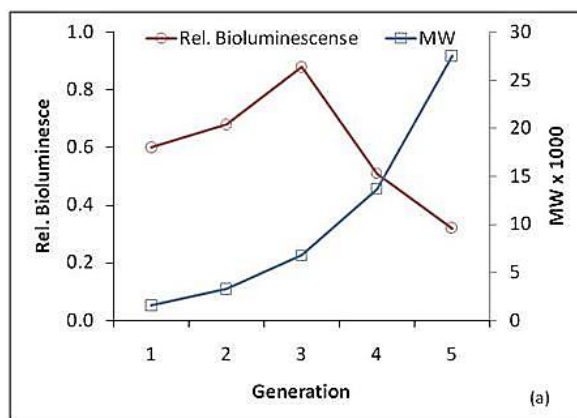
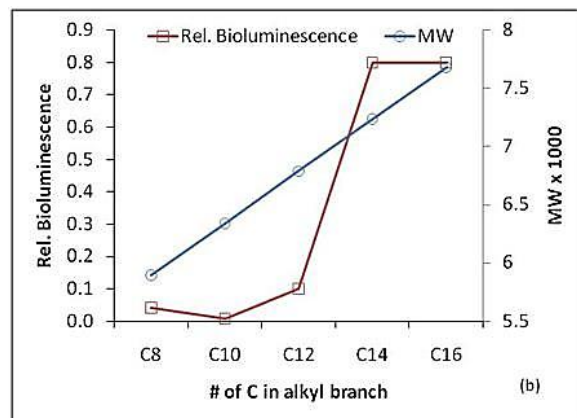


Figure 7. Schematic representation of a dendrimer structure and architecture⁷⁰

Figure 8a shows how the combination of the two opposing effects affects the antimicrobial activity of dendritic biocide. As generation increases, the size of the molecule and the number of functional groups grow exponentially. The antimicrobial activity decreases through a minimum (that is maximum relative bioluminescence) and then increases as electrostatic interaction overwhelms the size effect. The observation is quite the opposite to the conventional polymeric biocides, in which antimicrobial activity of smaller molecules increases monotonically with molecular weight to a maximum and then decreases sharply at the higher molecular weight. In this case (conventional polymeric biocides), electrostatic interaction is predominant in the low molecular weight regime. As the molecules grow larger, the size effect takes over.



(a)



(b)

Figure 8. Effect of generation number (MW) (a) and chain length (b) of quaternary ammonium-functionalized dendrimer antimicrobial activity (*Escherichia coli*) (adapted from Ref.).⁶⁷

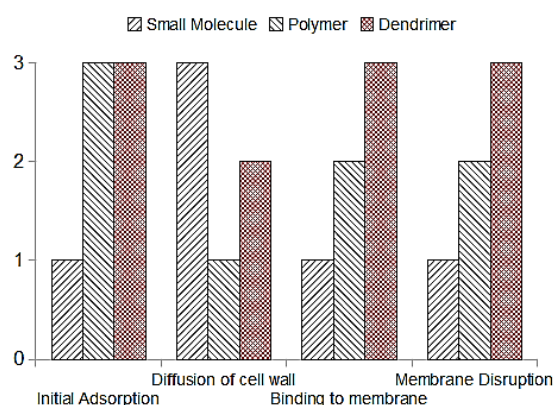


Figure 9. Comparison among small molecule biocides, polymer biocides, and dendrimer biocides in terms of their interactions with bacteria. 1 = low/weak, 2 = medium, 3 = high/strong.⁶⁷

Apparently, with dendritic biocides, permeation occurs predominantly for low molecular weight biocides, which is gradually suppressed, as dendrimer size grows larger with generation number. However, the fact that larger molecules with more functional groups are more potent implies that charge density plays a more important role than permeation. That explains the difference in the nature of the relationship between polymeric biocides and bacteria on one side and dendritic biocide and bacteria on the other side. A fascinating comparison of dendrimer, polymer, and monomer biocides, based mostly on the analysis of their size and mode of interactions with bacteria, is qualitatively summarized by Chen et al.⁶⁷ and is presented in Figure 9.

In summary, the antimicrobial activity of polymeric biocides and dendritic biocides is affected by molecular weight, especially when the latter is accompanied by an increase in charge density of the polymer. The two classes of biocides respond differently to the change of molecular weight. Due to its higher charge density on its surface, dendritic antimicrobials are generally more potent than polymeric and monomeric biocides.

3.2 Counterions

It was found that antibacterial activity is affected by the type of counter anion^{63,67,71,72} (Figure 10). The activity, according to the work reported by Kanazawa and co-workers⁶¹, is correlated very well with the solubility products of the polymers (KSP) or dissociation constant. The activity is high for those that dissociate more readily and become free ions, so that the active species, which is cation on the host polymer, is more readily available for the action. It is clear that KSP affects antibacterial activity. The order of activity in their study was $\text{Cl}^- > \text{BF}_4^- > \text{ClO}_4^- > \text{PF}_6^-$.

Chen et al.⁶⁷ found that biocides with bromide anion are more potent than those with chloride anion. Keszczynska et al.,⁷¹ in their study to investigate the role of counterions in the interaction of cationic surfactants with model membranes, arrived at the same result; benzyltrimethylammonium (BTA) bromide-induced hemolysis was found slightly greater than that induced by BTA chlorides. The fact that it was only slightly greater supports the remark made by Chen et al.⁶⁷ on their own results that the difference was not expected, as both ions are, in fact, tend to dissociate freely in the water.

In this context, Panarin et al.⁶⁶ reported a linear dependence of the logarithm of MIC of *S. aureus* on the content of counterion in the copolymers they studied (Figure 6b). This relationship was construed as a proof for electrostatic interaction between polyelectrolyte and bacterial cell surface. They also pointed out that the nature of counterion between Cl^- , Br^- and I^- does not affect the activity level and linear dependence. Unfortunately, supporting data for the latter is nowhere to be found in their paper. It is very likely that the slight difference in the dissociating power between these ions, as mentioned above, is the reason why Panarin et al. did not observe any difference in antibacterial activity of their samples.

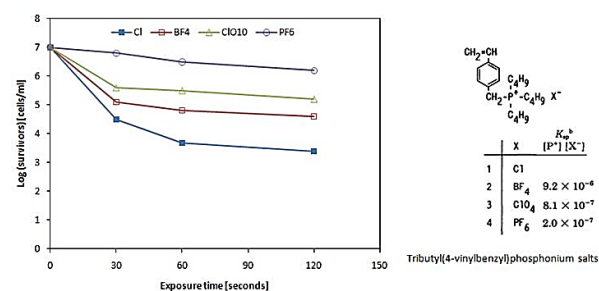


Figure 10. Effect of counterion composition to antimicrobial activity for tributyl(4-vinylbenzyl) phosphonium salts at 2.8 mM against *S. aureus*, and its relationship with solubility product constant of polymer KSP.⁶¹

3.3 Effect of Spacer Length and Alkyl Chain

It is quite reasonable to assume that spacer length has an effect on the activity of antimicrobial polymer due to the change in conformation, which consequently affects the level as well as the mode of interaction with the cell membranes. A series of studies carried out by Kanazawa et al.^{62,63} showed that spacer length indeed affects the antimicrobial activity of polymeric biocides. In the case where phosphonium (or ammonium) salts are located in the main chain, the antibacterial activity increased with spacer length. This finding suggests that the long spacer is favorable for strong interaction with cytoplasmic membrane owing to the thrusting effect of the long alkyl chain inserted into the hydrophobic

parts in the bilayer membranes of bacteria. Quite the opposite, the antibacterial activity of polymeric phosphonium salts decreased as side-chain length increased.

The explanation for this observation lies not on the size of the polymer coil because the polymers have nearly the same molecular size due to the approximately identical molecular weight and composition. To explain the contradicting behaviors, Kanazawa et al.⁷³ proposed that both polymers might form aggregates in aqueous solution to a different extent and of different sizes depending upon the length of hydrophobic chains in the polymers. This aggregation results from the hydrophobic interactions that occur between hydrophobic chains, and somehow it is very likely that the interaction is more pronounced for polymers with side-chains. Those with longer side-chain would form aggregates large enough to be excluded at the cell walls of bacteria, resulting in the suppression of the activity. Further studies by the same group on the effect of morphology on the mode of action and antibacterial activity support the above proposition that antibacterial activity of cationic biocides could be affected by the state of aggregation.⁷⁴

Chen et al. also investigated the effect of hydrophobic chain length in dendritic biocides on biocidal activity and found a parabolic relationship, as shown in Figure 8b. This is a general phenomenon often observed in long-chain surface-active substances; the activity increases progressively with increasing chain length up to a critical point, beyond which the activity decreases. This behavior is called the cut-off effect and was observed in the antimicrobial activity of homologous series of quaternary ammonium salts for the first time more than 70 years ago.⁷⁵ Dendritic-QAC biocides with C10 (ten carbon atoms) chains tend to be the most effective, followed by C8 and C12 chains. Chen et al.⁶⁷ pointed out that this behavior may be attributed to (1) dual binding sites on the surface for which the relative binding affinities at each site differ for long and short alkyl substituents or (2) different aggregational behavior for long and short hydrophobic chains. Since the concentration used was much lower than the critical micelle concentration, they concluded the former as more plausible for dendrimer biocides. Nakagawa et al.⁷⁶ immobilized on porous glass a series of low molecular weight quaternary ammonium salt (QAS) with different alkyl chain lengths and found similar parabolic relationship. Quite surprisingly, polymers of QAS behave differently. The results from different studies are presented in Table 2.

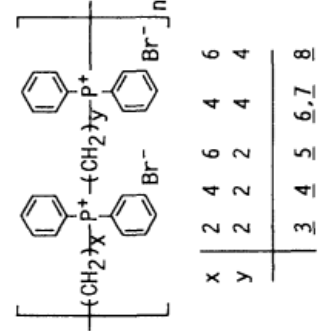
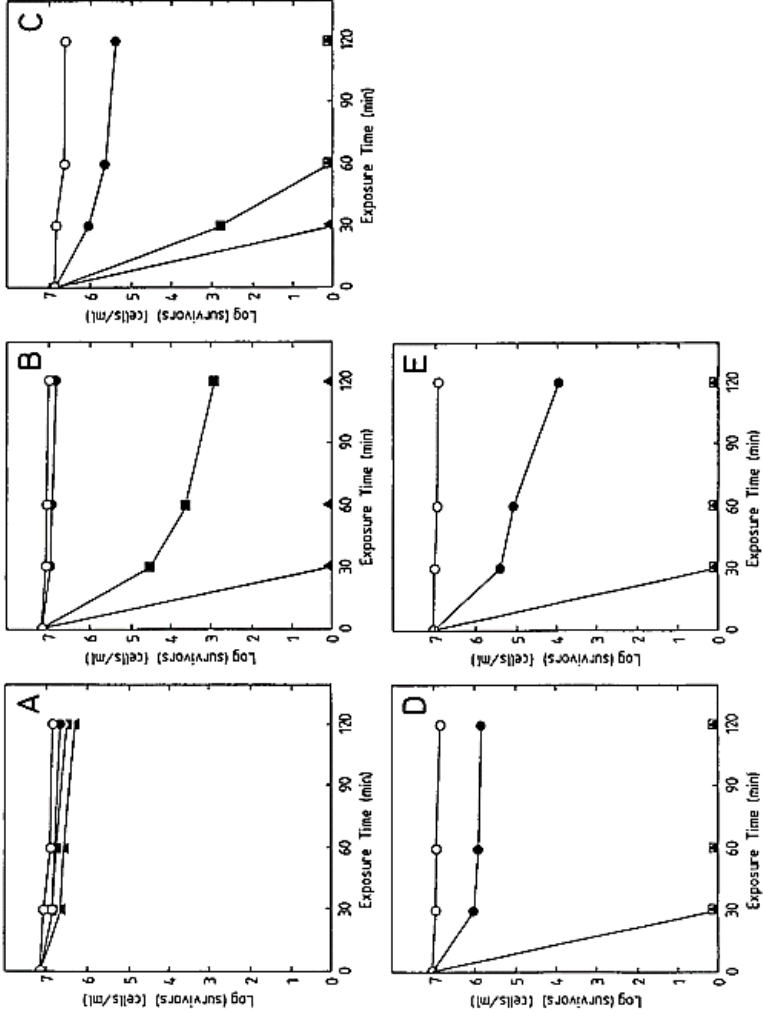
Ikeda et al.⁵⁸ discovered that the activity of poly[trialkyl(vinylbenzyl) ammonium chloride] against *S. aureus* increased with the number of carbon atoms in the hydrophobic chain (tail) and

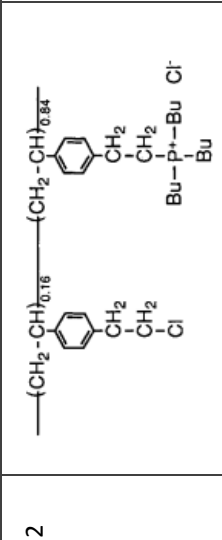
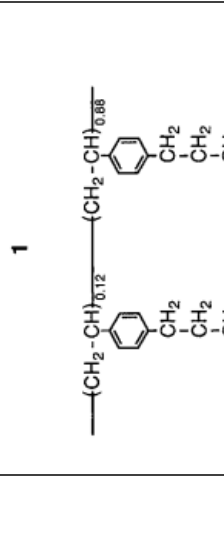
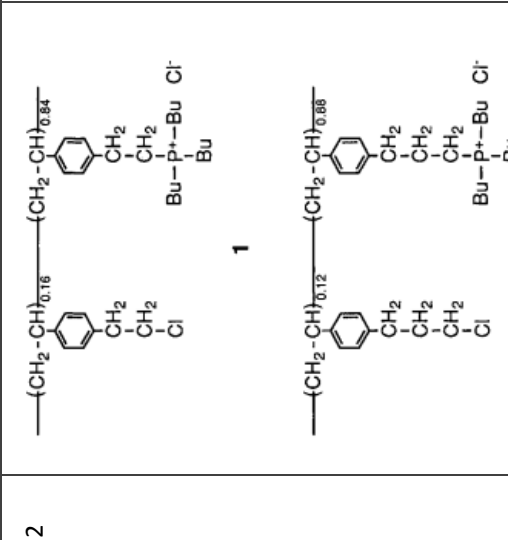
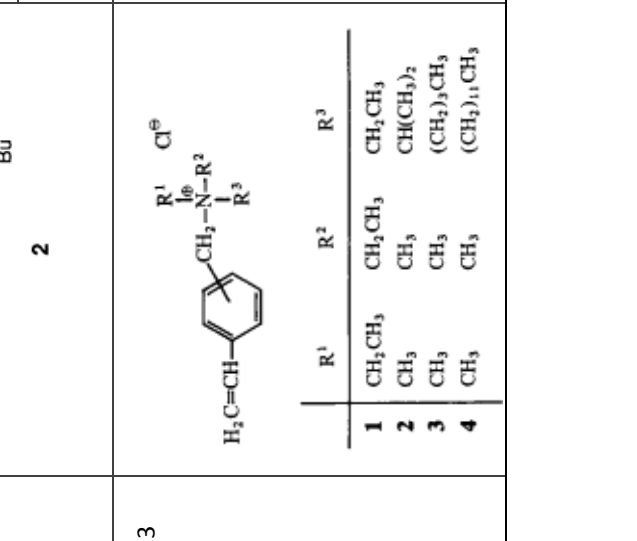
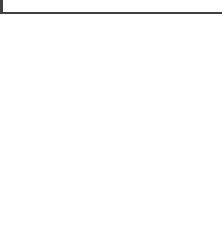
reached the highest with the longest substituent, C12. A similar trend was also found in a more recent study by Nonaka et al.⁷⁷ with a copolymer of N-isopropylacrylamide with methacryloyloxyethyl trialkylphosphonium chlorides. Kanazawa et al.,⁷⁸ however, found the contrary. They observed the activity of polymeric phosphonium salts decreased with hydrophobicity and suggested that it could be interpreted based on the size of polymer assembly in solution. The critical micelle concentration of surfactants with the same hydrophilic groups decreases with the increase of the alkyl chain length. As a result, the aggregation number and micelle size should increase accordingly. For antimicrobial polymers, this would make the diffusion through the cell wall more difficult, resulting in lower antimicrobial activity. It is not clear why they should be different, but it was possibly due to the difference in critical micelle concentration of each of the polymers and the concentration used in each of the corresponding antimicrobial tests. By contrast, the activity of chemically similar low molecular weight model compounds used in the same study increased with hydrophobicity.

Much earlier on, Panarin et al.⁶⁶ showed that the antimicrobial activity of polymers is independent of the length of the substituent. In contrast, for the monomers, it increases by several orders of magnitude when the alkyl chain length is increased from C1 to C16. They suggested that this is most probably due to conformational changes of the polymer because of hydrophobic interactions between alkyl substituent along the chain. The conformation is such that hydrophobic chains are inside the coil, whereas the ionogenic (QAC) groups are outside. When they come into contact with bacterial cells, they interact with the cell surface only electrostatically. Since the numbers of charges for the investigated macromolecules are equal, in the absence of hydrophobic interactions, their activities are also equal. It is interesting to note at this point that recently Lewis and Klibanov⁷⁹ suggested how hydrophobic interaction may interfere with antimicrobial activity and stressed that the polymeric chains on substrate surface must be in the form of individual entities rather than entangled agglomerates to perform effectively.

Studies with cationic antimicrobial peptide^{80,81} showed that agents with longer spacer exhibit higher activity than those with a shorter spacer. According to the authors, a longer spacer gives more flexibility and better penetration into the cell wall of bacteria. An effective antimicrobial must have a spacer long enough to span through the thickness of the cell wall and interact with the cytoplasmic membrane. Increasing the surface density of antimicrobial peptide does not improve the activity of antimicrobials with a short spacer.

Table 2. Effects of spacer length (1, 2) and alkyl chain (hydrophobic tail) length (3, 4, 5) on antimicrobial activity.

No.	Chemical Structure	Observations	Refs.																							
1	 <table border="1" data-bbox="571 1599 692 1839"> <tr> <td>x</td> <td>2</td> <td>4</td> <td>6</td> <td>4</td> <td>6</td> </tr> <tr> <td>y</td> <td>2</td> <td>2</td> <td>2</td> <td>4</td> <td>4</td> </tr> <tr> <td colspan="6" style="border-top: 1px solid black;"> <table border="1" data-bbox="571 1599 692 1839"> <tr> <td>3</td> <td>4</td> <td>5</td> <td>6,7</td> <td>8</td> </tr> </table> </td> </tr> </table>	x	2	4	6	4	6	y	2	2	2	4	4	<table border="1" data-bbox="571 1599 692 1839"> <tr> <td>3</td> <td>4</td> <td>5</td> <td>6,7</td> <td>8</td> </tr> </table>						3	4	5	6,7	8	 <p>Plots of log (survivors) of <i>S. aureus</i> versus time : (A) polymer 3, (B) polymer 4, (C) polymer 5, (D) polymer 6, (E) polymer 8. Concentrations: (circle) blank, (triangle) 100 ug/mL, (square) 10 ug/mL, (filled circle) 1 ug/mL.</p>	54
x	2	4	6	4	6																					
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<table border="1" data-bbox="571 1599 692 1839"> <tr> <td>3</td> <td>4</td> <td>5</td> <td>6,7</td> <td>8</td> </tr> </table>						3	4	5	6,7	8																
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3	 <table border="1" data-bbox="478 1973 1023 2206"> <thead> <tr> <th></th> <th>R¹</th> <th>R²</th> <th>R³</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>CH₂CH₃</td> <td>CH₂CH₃</td> <td>CH₂CH₃</td> </tr> <tr> <td>2</td> <td>CH₃</td> <td>CH₃</td> <td>CH(CH₃)₂</td> </tr> <tr> <td>3</td> <td>CH₃</td> <td>CH₃</td> <td>(CH₂)₃CH₃</td> </tr> <tr> <td>4</td> <td>CH₃</td> <td>CH₃</td> <td>(CH₂)₁₁CH₃</td> </tr> </tbody> </table>		R ¹	R ²	R ³	1	CH ₂ CH ₃	CH ₂ CH ₃	CH ₂ CH ₃	2	CH ₃	CH ₃	CH(CH ₃) ₂	3	CH ₃	CH ₃	(CH ₂) ₃ CH ₃	4	CH ₃	CH ₃	(CH ₂) ₁₁ CH ₃	<table border="1" data-bbox="256 1973 1023 2206"> <thead> <tr> <th></th> <th>Bacillus^{b)} subtilis</th> <th>Staphylococcus^{b)} aureus</th> <th>Escherichia^{c)} coli</th> <th>Aerobacter^{c)} aerogenes</th> <th>Pseudomonas^{c)} aeruginosa</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>330-660</td> <td>100-330</td> <td>>1 000</td> <td>>1 000</td> <td>>1 000</td> </tr> <tr> <td>poly(1)</td> <td>100-330</td> <td>66-100</td> <td>660-1 000</td> <td>660-1 000</td> <td>>1 000</td> </tr> <tr> <td>2</td> <td>>1 000</td> <td>330-660</td> <td>>1 000</td> <td>>1 000</td> <td>>1 000</td> </tr> <tr> <td>poly(2)</td> <td>100-330</td> <td>66-100</td> <td>660-1 000</td> <td>660-1 000</td> <td>>1 000</td> </tr> <tr> <td>3</td> <td>660-1 000</td> <td>660-1 000</td> <td>>1 000</td> <td>>1 000</td> <td>>1 000</td> </tr> <tr> <td>poly(3)</td> <td>66-100</td> <td>33-66</td> <td>330-660</td> <td>660-1 000</td> <td>>1 000</td> </tr> <tr> <td>4</td> <td><1</td> <td><1</td> <td>10-33</td> <td>10-33</td> <td>66-100</td> </tr> <tr> <td>poly(4)</td> <td>33-66</td> <td>10-33</td> <td>>1 000</td> <td>>1 000</td> <td>>1 000</td> </tr> </tbody> </table> <p>a) MIC (µg/ml) determined by the spread plate method. b) Gram-positive. c) Gram-negative.</p>		Bacillus ^{b)} subtilis	Staphylococcus ^{b)} aureus	Escherichia ^{c)} coli	Aerobacter ^{c)} aerogenes	Pseudomonas ^{c)} aeruginosa	1	330-660	100-330	>1 000	>1 000	>1 000	poly(1)	100-330	66-100	660-1 000	660-1 000	>1 000	2	>1 000	330-660	>1 000	>1 000	>1 000	poly(2)	100-330	66-100	660-1 000	660-1 000	>1 000	3	660-1 000	660-1 000	>1 000	>1 000	>1 000	poly(3)	66-100	33-66	330-660	660-1 000	>1 000	4	<1	<1	10-33	10-33	66-100	poly(4)	33-66	10-33	>1 000	>1 000	>1 000	50,51
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No.	Chemical Structure	Observations	Refs.								
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n	9	13	17								
	1	2	3								
5	$ \begin{array}{c} \text{CH}_3 \\ \\ \text{---CH}_2\text{---CH---} \\ \quad \quad \\ \text{C=O} \quad \quad \text{C=O} \\ \quad \quad \\ \text{O} \quad \quad \text{NH} \\ \quad \quad \\ \text{CH}_2 \quad \quad \text{CH---CH}_3 \\ \quad \quad \\ \text{CH}_2 \quad \quad \text{CH}_3 \\ \\ \text{R---P}^+\text{---R} \\ \\ \text{R} \quad \text{Cl}^- \end{array} $ R = C ₂ H ₅ C ₄ H ₉ C ₈ H ₁₇		69								

Polycationic antimicrobials with higher molecular weight have, in general, higher activity, especially when increasing molecular weight is accompanied by an increase in charge density as in dendrimers. It reaches maximum and then decreases as the diffusion-controlled process takes effect. The effect of counterion to antimicrobial activity depends on how easily it dissociates in solution. It follows that antimicrobial polymers with counterions that dissociate more readily are more active. That is why in many cases, quaternization was performed with bromide or chloride, which are well known to dissociate freely in the water.

The effect of alkyl chain length to antimicrobial activity is rather complex. When the active species is in and part of the main chain, the antibacterial activity of the polymers increases with increasing spacer length. However, for polymeric biocides with cationic charge on the side-chain, the activity decreases as the side-chain length increases, which is due to stronger hydrophobic interaction between chains. Lastly, below the critical micelle concentration, the activity increases with the number of carbon atoms in the hydrophobic tail due to stronger interlocking with the hydrophobic membrane core of bacterial cells.

The conclusion that can be made at this point, for polymeric biocides in solution, is that chain length is critical for the design of an effective antimicrobial polymer. The importance of charge density has also been alluded to and will be discussed further for surface-bound antimicrobial polymers.

4. Surface Bound Antimicrobial Polymers

In the past decade, due to the ever-growing demand for healthy living, considerable research efforts were performed in the development of non-leaching surfaces capable of killing microorganisms on contact. Immobilization has the advantage of long-term stability and lower toxicity than that by leach- or release-based systems, which lose their capacity to kill over time and could contaminate the environment.^{1,82} Additionally, the gradual decreasing level of released substance could provide a condition for the development of antimicrobial resistance.⁷⁹ One of the first examples of such a surface was described in the early 1970s by a group of researchers at Dow Corning Corporation.^{30,83} They treated 28 substrates, which includes many different natural and synthetic fibers, with 3-(trimethoxysilyl)-propyldimethyloctadecyl ammonium chloride and reported that all the treated surfaces exhibited antimicrobial properties. Twenty-six microorganisms were used in the test. They also asserted that these surfaces could withstand repeated washing, indicating the durability of the treatment.

Some relevant examples of antimicrobial polycations immobilized on different surfaces are presented in Table 3. While soluble compounds may

be able to penetrate the cell envelope, surface-bound molecules are constrained by their molecular length and could only penetrate the cell membrane if they extended far enough away from the surface. In their study of the cationic antimicrobial peptide, Bagheri et al.⁸¹ and Haynie et al.⁸⁰ found that immobilization reduces peptide activity by two orders of magnitude.

It has been reported recently that for Gram-negative bacteria *Escherichia coli*, the thickness of the cell envelope is 46 nm, which includes plasma membrane, periplasmic space, peptidoglycan, and outer membrane from inside out⁸⁴ (see Figure 11). For Gram-positive bacteria *Bacillus subtilis* the thickness was found to be 45-55 nm⁸⁵; it lacks an outer membrane but has thicker peptidoglycan. In order to effectively penetrate the cytoplasmic membrane and kill the cells, one possible solution would be to link the antimicrobial agent to a long, flexible polymeric chain anchored covalently to the surface of the material. In this regard, Tiller et al.¹⁸ found that shorter polymer chains of poly(vinyl- N-alkylpyridinium bromide) (PVP) immobilized on NH₂-glass slide are significantly less effective than those with higher molecular weight (62% as opposed to 94% for 60,000 and 160,000 g/mol respectively).

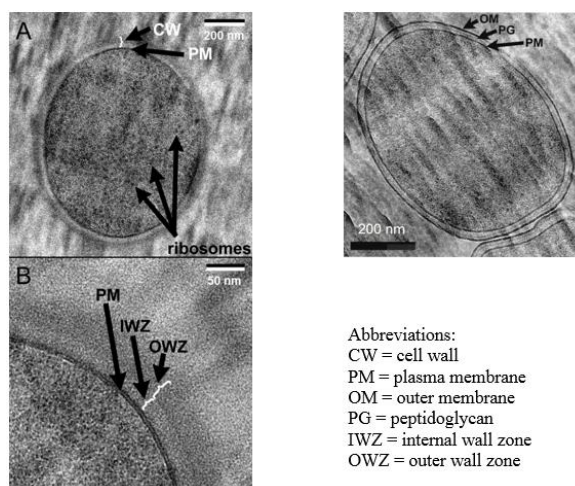
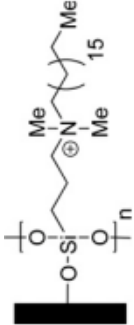
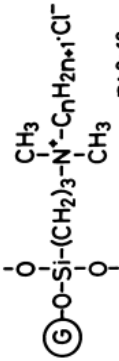
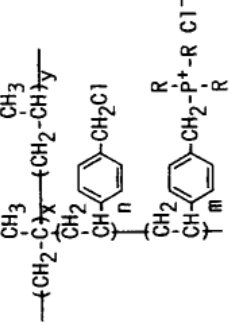


Figure 11. Cross-sections of frozen-hydrated *B. subtilis* 168 (left) and *E. coli* K-12 (right) obtained by cryo-TEM showing the structure of cell envelope^{84,85}.

Table 3. Various antimicrobial polycations immobilized on different surfaces.

Chemical entity	Substrate	Method of Immobilization	Microorganisms	Microorganism loading and Antimicrobial activity	Refs. (Year)
 <p>3-(trimethoxysilyl)-propyldimethyl-octadecyl ammonium chloride</p>	28 different substrates	Wet chemical. Glass surfaces and cotton cloth were immersed at room temperature in 0.1% Si-QAC for 10 min and then dried at 70° C for 30 min.	28 different types of microorganisms	N/A, 95%, 30 min.	30,83 (1972)
 <p>Quaternary ammonium of 3-chloropropyltrimethoxysilane n: 2-18</p>	Porous glass	Wet chemical. The porous glass was reacted with 3-chloropropyltrimethoxysilane under toluene refluxing at 110° C and then quaternized with N,N-dimethyl-n-alkylamines	<i>E. coli</i>	ca. 50 cells/cm ² , 100%, 10 s.	76 (1984)
 <p>Phosphonium salt</p>	Polypropylene film	Radiation grafting by light (photografting). PP was photografted with 4-chloromethylstyrene and then reacted with trialkylphosphine in n-hexane	<i>S. aureus</i> <i>E. coli</i>	1.4 x 10 ⁵ cells/cm ² , 99.9%, 2 hrs 8.4 x 10 ⁴ cells/cm ² , 100%, 30 min.	86 (1993)

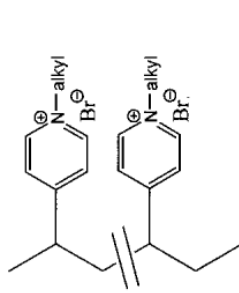
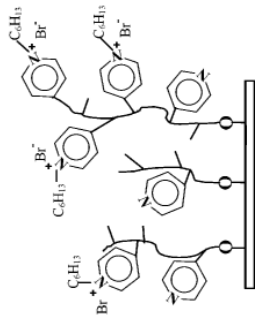
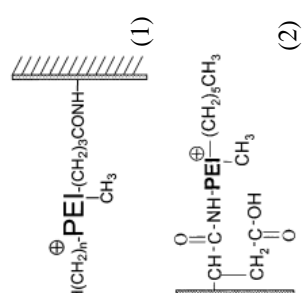
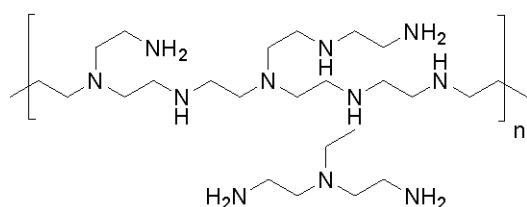
Chemical entity	Substrate	Method of Immobilization	Microorganisms	Microorganism loading and Antimicrobial activity	Refs. (Year)
 <p>Poly(4-vinyl-N-alkylpyridinium bromide)</p>	Glass	Surface graft copolymerization with 4VP, quaternized with alkyl bromide.	<i>S. aureus</i> , <i>Staphylococcus epidermidis</i> , <i>Pseudomonas aeruginosa</i> , <i>E. coli</i>	94-99.8%, 24 h	18 (2001)
 <p>N-hexyl bromide poly(4-vinylpyridine)</p>	PET film, paper	Plasma treatment with argon –exposed to air – UV irradiated in 4VP – quaternization with hexyl bromide.	<i>E. coli</i>	N/A	23 (2003)
 <p>N-alkylated polyethyleneimine</p>	PE film, PP, cotton, nylon, wool, PET fabric	(1) Acylation with 4-bromobutyryl chloride, covalent attachment of PEI, followed by N-alkylation and methylation of PEI. (2) Free radical grafting of PEI with maleic anhydride, followed by the same N-alkylation and methylation	<i>S. aureus</i> , <i>S. epidermidis</i> , <i>P. aeruginosa</i> , <i>E. coli</i> , <i>Candida albicans</i>	N/A, 80-99%, 2 days	25,26 (2003)

Table 4. Bactericidal activity of polyethyleneimine immobilized on cotton as a function of molecular weight.²⁴

Molecular weight of PEI (kDa)	Bactericidal activities (%) after:		
	Regular wash ^b	First exhaustive wash ^c	Second exhaustive wash ^c
750	98 ± 1	98 ± 1	95 ± 3
25	83 ± 3	75 ± 11	70 ± 6
2	53 ± 17	35 ± 15	37 ± 8
0.8	58 ± 10	24 ± 4	19 ± 13

^bConsisted of washing with methanol and distilled water.

^cConsisted of additional stirring in soapy water at 55°C overnight, followed by thorough rinsing with distilled water.

**Figure 12.** Polyethyleneimine

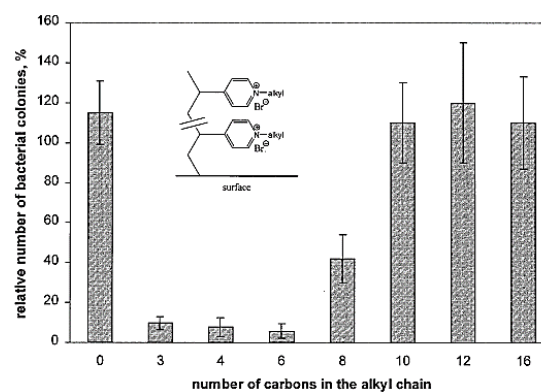
This is further substantiated by Lin et al.²⁴ with different unrelated polycation, polyethyleneimine (PEI) (sixth row in Table 3 and Figure 12), confirming the generality of the conception. To reach a potency of 98% against airborne *S. aureus*, 750 kDa PEI was necessary (Table 4), which was estimated to be approximately 6 μm in length when fully extended²⁴. It was more than enough to penetrate even the whole bacterial cell, which is typically in the order of 1 – 2 μm . The 25, 2, and 0.8 kDa PEI in Table 4 is approximately 0.2, 0.02, and 0.007 μm in length, respectively. The activity dropped significantly from 98% to 83%, even when the polymer is believed to be long enough to penetrate the membrane (0.2 μm = 200 nm). It is not yet clear if there is any upper limit of molecular weight just as the case with antimicrobial polymers in solution (for example, see Refs 60 and 67).

This pattern was echoed by Haldar et al.³² when testing the virucidal efficacy of branched N,N-dodecyl methyl-PEI coating with varying molecular weights. The study found 750-kDa PEI to have 100% virucidal activity after 10 minutes of exposure. The efficacy decreased to 98 ± 0.4% and 97 ± 0.2% when the molecular weight used was 25 kDa and 2 kDa, respectively.

Furthermore, Tiller et al.¹⁸ also showed that the efficacy of biocidal action was greatly affected by the number of carbons in the fatty alkyl chain attached to the N atom in the pyridinium groups. As

seen in Figure 13, propylated (C3), butylated (C4), hexylated (C6), and octylated (C8) immobilized PVP chains were noticeably effective in reducing the number of viable bacterial cells (*S. aureus*), with hexyl-PVP being the most effective. In contrast, the immobilized PVP N-alkylated by decyl (C10) through hexadecyl (C16) bromides, as well as the non-alkylated chains, were ineffective. For non-alkylated bound PVP and those modified with longer alkyl moieties, hydrophobic interactions were predominant. They caused the bound polymer chains to adhere to each other creating hindrance for the adsorption of cationic species on the bacterial cell surface. However, for those with shorter alkyl chain (C3-C8), the hydrophobic interactions were not strong enough to overcome the electrostatic repulsion so that the chains were separated from each other, giving more access to the quaternary pyridinium groups in the polymer. The interactions are illustrated in the schematic depiction of the end-grafted polyelectrolyte on a substrate shown in Figure 13. The extent of chains separation, and thus their conformation, apparently depends on the interplay between hydrophobic interactions and electrostatic repulsion. In order that the chains extend as individual entities, there must be an optimum balance between the two forces. The balance can be accomplished by fine-tuning the length of hydrophobic chains with respect to charge and hydrophobicity.²⁸

The same observation was reported by Lin et al.²⁶ with PEI: bactericidal activity of N-alkylated PEI increased with the number of carbon atoms in hydrophobic chains and reached its maximum with hexyl (Table 5 and Figure 14). Interestingly, when the positive charge of ammonium groups in the polymer was raised by subsequent methylation, and thus increased the electronic repulsion between chains bearing cationic groups, the activity pattern changed from the familiar bell-shaped curve to an S-curve (compare with Figure 4). Bactericidal activity still slowly increased even for dodecyl (C12) and octadecyl (C18).



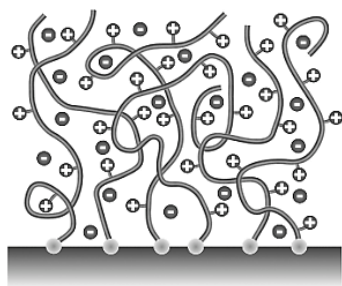


Figure 13. Effect of N-alkylation to the antimicrobial activity of polyvinyl pyridine against *S. aureus* (106 cells/mL).¹⁸

Table 5. Effect of alkyl chain length and degree of positive charge to the antimicrobial activity of *N*-alkylated-PEI immobilized on glass surface against *S. aureus*.²⁶

alkylation		quaternary amino group density (nmol/cm ²)	bactericidal efficiency (%)
alkyl chain	subsequent methylation		
none	no	~0	14 ± 8
methyl	n.a.	10.2 ± 3.0	18 ± 20
ethyl	no	9.4 ± 3.9	15 ± 16
butyl	no	6.9 ± 2.0	62 ± 16
hexyl	no	6.1 ± 2.4	80 ± 7
dodecyl	no	3.3 ± 1.2	66 ± 13
octadecyl	no	1.1 ± 0.6	44 ± 22
ethyl	yes	9.2 ± 3.5	22 ± 15
butyl	yes	7.7 ± 2.7	56 ± 13
hexyl	yes	6.8 ± 1.9	90 ± 5
dodecyl	yes	4.8 ± 1.5	92 ± 4
octadecyl	yes	4.2 ± 2.1	93 ± 5

An elegant experiment conducted by Lin gave further highlights on the importance of positive charge. In addition to N-alkylation, immobilized PEI was either acylated or carboxyalkylated (the last two routes in Figure 15) to give neutral charge or zwitterionic polymer while keeping the hydrophobicity roughly the same. As expected, the two polymers were reported non-bactericidal. In the absence of a positive charge, there is no electrostatic repulsion between the polymer chains so that they collapse or become entangled to each other, hence reducing their ability to penetrate the cell membrane. Moreover, in neutral and zwitterionic polymers, there is no electrostatic interaction with negatively charged bacterial cells. A general strategy for creating an effective antimicrobial surface through immobilized polycation may thus far be concluded as follows: (1) long polymer chains (high enough molecular weight), (2) optimum length of hydrophobic chains, and (3) positive charge.

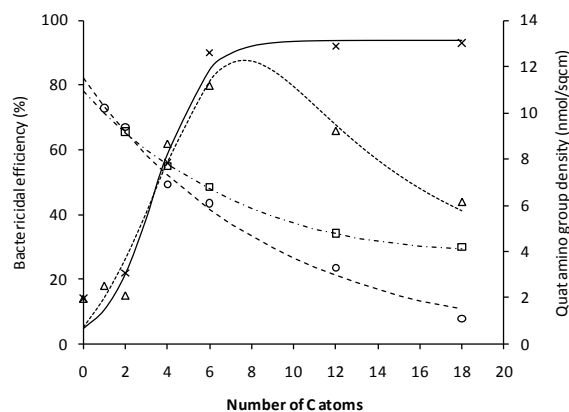


Figure 14. Bactericidal efficiency (Δ × = alkylated-PEI, × = alkylated-methylated-PEI) against *S. aureus* and density of quaternary ammonium group (○ = alkylated-PEI, □ = alkylated-methylated-PEI) of PEI immobilized on the glass. From Table.

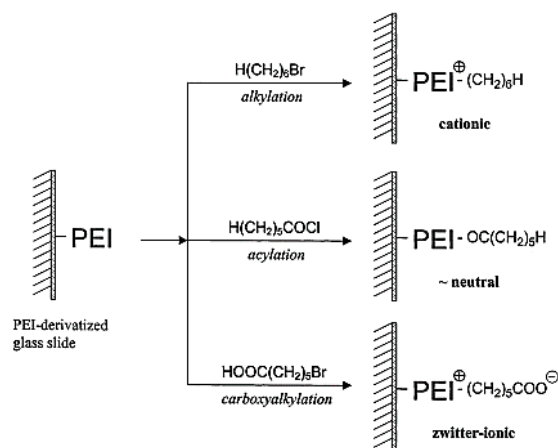


Figure 15. PEI was alkylated with hexyl bromide to produce cationic charges in the polymer, hexanoyl chloride and N-alkylated with 6-bromohexanoic acid to give neutral and zwitterionic materials respectively.²⁶

From the understanding of the roles played by each of the above three elements and their interrelationship, it becomes clear that an effective biocidal cationic surface must require high graft density and charge density. Recently, Kugler et al.¹⁹ and Murata et al.⁸⁷, in their study with PVP and poly-2-(dimethylammonium) ethyl methacrylate bromide respectively, showed the existence of a charge density threshold that is required to induce quick bacterial death upon adsorption (Figure 16). They have both come to an interestingly concurrent result that the most effective biocidal surfaces have charge densities in the proximity of 10^{15} N⁺/cm², which is remarkably in accord with the surface charge of bacterial cells. The measurements for *E. coli*, according to Murata,⁸⁷ are between 5×10^{14} and 5×10^{15} /cm² depending on their growth stage.

Similar observation, interestingly, was also reported by Bagheri et al.⁸¹ with cationic antimicrobial peptide and *E. coli*.

High charge density can be accomplished on a substrate by having a high grafting density of polymer bearing cationic groups. When high grafting density cannot be attained, it can also be realized by using very long chains because longer polymer chains carry more cationic species. In this regard, Murata⁸⁷ pointed out that short chains with high grafting density and long chains with low grafting density were equally effective as long as they have accessible charge density higher than $5 \times 10^{15} \text{ N}^+/\text{cm}^2$. However, it must be remembered that hydrophobic interactions between polymer chains and the propensity of the chains to the coil may hinder access. Unless the chains are extended and free from entanglement, the positive charge in the polymer would still be less accessible. Without any external force, this would require that grafting is sufficiently dense that the polymer chains are crowded and forced to stretch away from the surface in extended fashion to avoid overlapping.⁸¹

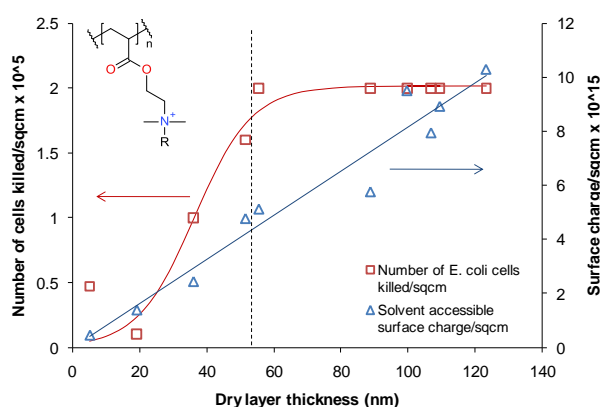


Figure 16. The effect of surface charge density to the antimicrobial activity of end-grafted poly-2-(dimethylammonium) ethyl methacrylate bromide against *E. coli* showing the existence of charge density threshold. The substrate surface was incubated with 2.0×10^5 cells/cm². (Adapted from Ref. 87).

The above-cited finding not only strengthens the importance of charge density but also indicates the existence of an alternative mechanism. As shown previously by Tiller et al.¹⁸ and Lin et al.,²⁴ a long polymer is required for an effective polycationic antimicrobial so that it penetrates bacterial cells and thereby disrupts the membrane much like a needle bursting a balloon. However, based on the observation on the existence of charge density threshold, which coincides with the value of the surface charge of bacterial cells, Kugler¹⁹ proposed that alternative mechanisms based on electrostatic interaction, i.e., charge-transfer mechanism, might

take place. Upon adsorption on a cationic solid substrate, the electrostatic compensation of the negative charges of the bacterial envelope is taken over by the cationic charges of the substrate, hence releasing the natural counterions of the bacteria (Mg^{2+} and Ca^{2+}). These divalent counterions serve to stabilize the outer membrane by neutralizing and bridging the phosphate groups of the lipopolysaccharide molecules, which otherwise would repel each other. Their removal from the bacteria during adsorption on charged surface destabilizes the outer membrane leading to disruption of the bacterial envelope and non-viability. That may also explain, as pointed out by Murata,⁸⁷ why surface bound 3-(trimethoxysilyl)propyldimethyl-octadecyl ammonium chloride, for example, is highly effective, despite the fact that it is only a monolayer thick.

According to Ravikumar et al.,⁸⁸ the mechanism by which antimicrobial polymers kill cells is much more likely dependent upon the physical form that they take. By comparing the antimicrobial activity of soluble and insoluble derivatives of poly(2-dimethylaminoethyl methacrylate), they hypothesized that soluble polymers are more likely to be able to penetrate cell walls and membranes, whereas surface-bound ones are more likely limited to a charge-transfer mechanism.⁸⁸

A closer look at surface-bound antimicrobials reveals the problem of cleaning. Dead bacterial cells that accumulate on the cationic surfaces not only block the antimicrobial functional groups⁸⁹ but also provide nutrients for cells that enter the system later, leading to rapid growth of biomass.³⁶ Thus, adsorption of bacteria on biocidal cationic surfaces might reduce their potency over time. Recently, an interesting study⁸⁹ reported a switchable polycation, poly(N,N-dimethyl-N-(ethoxycarbonyl)ethyl-N-[2'-(methacryloyloxy)ethyl]-ammonium bromide) (Figure 17), that integrates antimicrobial and non-fouling properties. After killing bacteria, the polymer switches to a zwitterionic (see Figure 15) imparting nonfouling surface that releases dead bacterial cells upon hydrolysis. Much earlier on, Kanazawa⁸⁶ described that the inactivated cationic surface of a phosphonium salt, which was due to the accumulation of dead cells, can be regenerated by simple washing with ethanol and sodium hydroxide solution.

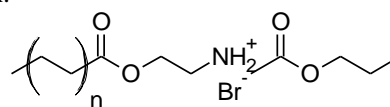


Figure 17. Switchable antimicrobial - nonfouling polymer, poly(N,N-dimethyl-N-(ethoxycarbonyl)ethyl-N-[2'-(methacryloyl-oxy)ethyl]-ammonium bromide)⁸⁹.

CONCLUSION

There is more than one way to classify antimicrobials. They can be grouped based on their chemical structure, mechanisms of action, or based on their modes of actions. For each group of antimicrobials, both external and internal factors affect the efficacy and activity against microbes. The physical environment, the nature of the organism, and the resistance of the organism against antimicrobial agents are several of the external factors at play. The internal factors that affect antimicrobial efficacy are identified as molecular weight, spacer length between the active site and polymer, hydrophilic-lipophilic balance (HLB), the nature of counterion, and the location of the active component relative to the polymer backbone.

Generally, bacteria and viruses have a negative charge, while cationic micro biocides have a positive charge. This allows the microbicide to be adsorped, diffuse, and bind to the microbe's surface, ultimately disrupting the outer membrane. Polycations, carrying larger charge density than monomeric cations, are more likely to be adsorbed by the membrane of microbes. A correlation of molecular weight and biocidal activity has been observed both in polymeric and dendritic biocides. However, dendritic biocides are overall more effective than both polymeric and monomeric biocides due to the higher charge density on its surface.

A similar principle was observed when comparing the counterions of different polymers. A higher dissociation constant of a polymer's counter anion allows the cation to be available for action. Thus the type of counter anion is important in determining the strength of a biocide because it determines the dissociation constant.

The relationship between spacer length and antibacterial activity has been shown to be dependent on the location of the active species. The antibacterial activity of the polymers positively correlates with spacer length when the active site is a part of the main chain. On the other hand, antibacterial activity decreases with longer spacer length in polymeric biocides with cationic charges on the side chain.

Immobilization of surface-bound antimicrobial polymers was developed to address the concern of leaching. Immobilization can prolong the stability of the microbial activity and also protect against environmental contamination of free-floating antimicrobial actives. Based on the above discussion and the available data presented in the literature cited in this review, the general approach for creating effective biocidal cationic surfaces that kill microbes on contact can be outlined as follows: (1) long polymer chains (high enough molecular weight), (2) optimum length of hydrophobic chains, and (3) high charge density. It can be added that the surface

charge density is the critical element. For a concluding remark, it is also important to distinguish between killing and cleaning, because killing by biocides does not always mean cleaning afterward. It seems that a new platform in the development of cationic biocidal surfaces must be considered, that is, antimicrobial and self-removal of dead cells.

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